

# The $c$ and $a$ -theorems and the Local Renormalisation Group

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**ABSTRACT:** The Zamolodchikov  $c$ -theorem has led to important new insights in our understanding of the renormalisation group and the geometry of the space of QFTs. Here, we review the parallel developments of the search for a higher-dimensional generalisation of the  $c$ -theorem and of the Local Renormalisation Group.

The idea of renormalisation with position-dependent couplings, running under local Weyl scaling, is traced from its early realisations to the elegant modern formalism of the local renormalisation group. The key rôle of the associated Weyl consistency conditions in establishing RG flow equations for the coefficients of the trace anomaly in curved spacetime, and their relation to the  $c$ -theorem and four-dimensional  $a$ -theorem, is explained in detail.

A number of different derivations of the  $c$ -theorem in two dimensions are presented – using spectral functions, RG analysis of Green functions of the energy-momentum tensor  $T_{\mu\nu}$ , and dispersion relations – and are generalised to four dimensions. The obstruction to establishing monotonic  $C$ -functions related to the  $\beta_c$  and  $\beta_b$  trace anomaly coefficients in four dimensions is explored. The formulation of the weak  $a$ -theorem, involving the coefficient  $\beta_a$  of the Euler density in the trace anomaly, using a dispersion relation for four-point functions of  $T^\mu{}_\mu$  is then presented.

Finally, we describe the application of the local renormalisation group to the issue of limit cycles in theories with a global symmetry and it is shown how this sheds new light on the geometry of the space of couplings in QFT.

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## 1 Introduction

The Zamolodchikov  $c$ -theorem [1, 2], originally formulated in 1986, has proved to be one of the most influential results in the recent development of the renormalisation group in quantum field theory, and has led to important new insights in our modern perspective on the geometry and topology of the space of QFTs.

The theorem identifies a  $C$ -function constructed from the two-point Green functions of the energy-momentum tensor in two-dimensional QFTs, which satisfies a RG flow equation of the form

$$\beta^I \frac{\partial}{\partial g^I} C = \frac{3}{2} G_{IJ} \beta^I \beta^J, \quad (1.1)$$

where  $\beta^I$  are the beta functions corresponding to the marginal operators  $O_I$  characterising the theory. At a fixed point,  $C(g^*)$  reduces to the central charge  $c$  of the associated conformal field theory. This can also be identified as the coefficient of the Ricci scalar in the conformal anomaly for the trace of the energy-momentum tensor in curved spacetime, *i.e.*

$$T^\mu{}_\mu = \frac{1}{2} \beta_c R, \quad (1.2)$$

where  $\beta_c = c/12\pi$ . As the function  $G_{IJ}$ , similarly defined from the Green functions  $\langle O_I O_J \rangle$ , is positive definite, it may be interpreted as a metric on the space of couplings  $g^I$ . The relation (1.1) then implies that the RG flow of  $C(g)$  is monotonic. This is the ‘ $c$ -theorem’ and is a powerful constraint on the nature of RG flows, apparently ruling out, for example, the existence of limit cycles. It immediately follows that for a RG flow from a UV to an IR fixed point, the ‘weak  $c$ -theorem’ holds, *viz.*

$$c^{UV} - c^{IR} \geq 0, \quad (1.3)$$

and since  $c = n_s + \frac{1}{2}n_f$ , this relation gives information on the spectrum of the CFTs related by the RG flow. Moreover, since all the key ingredients of the proof of the  $c$ -theorem – the existence of a conserved energy-momentum tensor, the trace anomaly and

unitarity – are very general properties of QFTs, it seems natural that a generalisation of the  $c$ -theorem beyond two dimensions should exist.

The search for a higher-dimensional version of the  $c$ -theorem has been a catalyst in the development of the second main topic of this paper, the Local Renormalisation Group. The essential idea underlying the local RGE is to promote the elementary couplings of the QFT to position-dependent functions  $g^I(x)$  so that they act as sources for the operators  $O_I$  appearing in the Lagrangian. The RG flow of these local couplings, generated by the corresponding beta functions  $\beta(g^I(x))$ , is then related to local Weyl rescalings of the metric,  $g^{\mu\nu} \rightarrow \Omega(x)^2 g^{\mu\nu}$ . Since the metric is the source for the energy-momentum tensor, the resulting local RGE [3, 4],

$$\left( \Omega(x) \frac{\delta}{\delta \Omega(x)} - \beta^I \frac{\delta}{\delta g^I(x)} - \dots \right) W = \mathcal{A}, \quad (1.4)$$

immediately describes the RG properties of Green functions involving the energy-momentum tensor. The rhs of (1.5) is the curvature-dependent conformal anomaly, where

$$T^\mu{}_\mu = \beta^I O_I + \mathcal{A}. \quad (1.5)$$

The introduction of local couplings has, however, important implications for the renormalisation of the theory and, as shown by Osborn in a series of key papers [5–9], in order to achieve a complete, finite renormalisation it is necessary to introduce a new type of counterterm, depending entirely on the local couplings  $g^I(x)$  and their derivatives. As we shall see, these new counterterms and their corresponding RG functions add vital new contributions to the anomaly  $\mathcal{A}$  in (1.4) and play a very powerful rôle in uncovering the full implications of anomalous Weyl symmetry in quantum field theory.

In four dimensions, there are more anomalous curvature contributions to the anomaly  $\mathcal{A}$  and we have [10]

$$T^\mu{}_\mu = \beta^I O_I + \beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \dots \quad (1.6)$$

where  $\mathcal{F}$ ,  $\mathcal{G}$  and  $\mathcal{H}$  are curvature-squared terms determined respectively by the Weyl tensor, the Euler density and the Ricci scalar. At first sight, it therefore appears there are three candidates for a four-dimensional Zamolodchikov-like theorem. Indeed, noting the relation between the central charge and the anomaly (1.2), and that in two dimensions the Ricci scalar is the Euler density, Cardy[11] conjectured that the analogue of the  $c$ -theorem in four dimensions should involve the coefficient  $\beta_a$  of the Euler density  $\mathcal{G}$ . For free theories,  $\beta_a = \frac{1}{360} (n_s + 11n_f + 62n_v)$ .

The local renormalisation group places important and highly non-trivial constraints on the evolution of the coefficients  $\beta_a$  and  $\beta_b$  under Weyl scalings. These are special

cases of an intricate web of identities which are elegantly determined by Weyl consistency conditions. Most important is the remarkable result [7, 8] discovered by Osborn on the RG flow of  $\beta_a$ :

$$\beta^I \partial_I \tilde{\beta}_a = \frac{1}{8} \chi_{IJ}^g \beta^I \beta^J, \quad (1.7)$$

where  $\tilde{\beta}_a = \beta_a + O(\beta^I)$  and  $\chi_{IJ}^g$  is the RG function corresponding to a new counterterm proportional to  $G^{\mu\nu} \partial_\mu g^I \partial_\nu g^J$  ( $G_{\mu\nu}$  is the Einstein tensor). A very similar relation holds in two dimensions for the RG flow of  $\beta_c$  in (1.2). However, unlike the case in two dimensions where the equivalent metric function  $\chi_{IJ}$  is directly related to the two-point function  $\langle O_I O_J \rangle$ , the corresponding identification of  $\chi_{IJ}^g$  is more subtle and it is not similarly guaranteed to be positive definite. Nevertheless, it can be shown to be positive in the neighbourhood of fixed points where the beta functions  $\beta^I$  are sufficiently small and it has been found to be positive in all known perturbative calculations. The relation (1.7) is of course tantalisingly close to realising the anticipated  $a$ -theorem in four dimensions.

A direct approach to looking for a four-dimensional theorem may be made by following the original Zamolodchikov construction to find the analogues of the two-dimensional  $C$ -function in terms of two-point functions of the energy-momentum tensor and relating them to the RG coefficients in the anomaly (1.6). This was carried out in [12, 13] following the spectral function method first applied to the  $c$ -theorem in [14, 15]. The result is two RG flow equations for functions  $C^{(s)}$ ,

$$\beta^I \partial_I C^{(s)} = 24G^{(s)} - 2C^{(s)}, \quad (1.8)$$

where the index  $s = 0, 2$  indicates the contribution from spin 0 and spin 2 intermediate states in  $\langle T_{\mu\nu} T_{\rho\sigma} \rangle$ . For spin 0, up to normalisations, we find  $C^{(0)} = \beta_b$  at a fixed point, while  $G^{(0)} = \frac{1}{9} G_{IJ} \beta^I \beta^J$  can be written in terms of a positive definite metric as in the original theorem. However, the presence of the extra term on the rhs of (1.8) (in  $n$  dimensions, the coefficient is  $n - 2$ ) means that the flow of  $C^{(0)}$  is not monotonic. Moreover, the Weyl consistency conditions confirm that  $\beta_b$  is itself of  $O(\beta^I)$  and so vanishes at a fixed point. For spin 2, the  $C^{(2)}$  function reduces to  $\beta_c$  at a fixed point. However, although  $G^{(2)}$  is positive definite it cannot be written entirely in terms of the metric  $G_{IJ}$  and again the extra term proportional to  $C^{(2)}$  in (1.8) means its RG flow is not necessarily monotonic.

The remaining, and most interesting, anomaly coefficient does not however enter into the local RGEs for the two-point functions of the energy-momentum tensor, and so to find the corresponding  $a$ -theorem we need to look further. One possibility is to consider QFT on a spacetime of constant curvature, where the Euler index is non-

vanishing and the local RGEs for the two-point functions of  $T_{\mu\nu}$  involve  $\beta_a$ . This approach was pursued in depth in [16] (see also [17]). While this produces a consistency condition closely related to (1.7) (see section 4 of [16]), it turns out that this method is not able to establish the existence of an exact, monotonic  $a$ -theorem, for reasons discussed briefly here in section 8.

Eventually, a major breakthrough was made comparatively recently with the realisation by Komargodski and Schwimmer [18] (see also [19, 20]) that  $\beta_a$  can be isolated in the local RGEs for the four-point Green function of the trace of the energy-momentum tensor  $T^\mu_\mu$  and, crucially, that these four-point functions do exhibit a positivity property through the optical theorem. A dispersion relation argument then yields the weak  $a$ -theorem,

$$\beta_a^{UV} - \beta_a^{IR} = \frac{1}{\pi} \int_0^\infty \frac{ds}{s^3} \text{Im } \mathcal{A}(s) \geq 0, \quad (1.9)$$

where  $\mathcal{A}(s)$  is the four-point function written as a forward amplitude in terms of the Mandelstam variable  $s$ . Even so, a complete  $a$ -theorem establishing monotonicity of a well-defined  $C$ -function along an entire RG trajectory not only in the vicinity of fixed points, and connecting to the Weyl consistency relation (1.7), remains to be found.

In this paper, we review and trace the common threads running through this line of research in the development of the local RGE and the search for a four-dimensional Zamolodchikov-like theorem constraining RG flows. It should be emphasised that this is not in any way intended as a comprehensive review of the whole subject. Rather, the presentation is focused simply on the chain of ideas and technical advances outlined above.<sup>1</sup> Many fascinating topics and important work in the field, especially on supersymmetric theories, are therefore not touched on here, including explicit model calculations,  $a$ -maximisation, holography, applications to entanglement entropy, and many more.

The paper is organised as follows. The remainder of this section sets the scene with a brief review of the essentials of scale, conformal and Weyl invariance, a first look at the derivation of the  $c$ -theorem as originally presented by Zamolodchikov, and a brief account of the early development of the local renormalisation group. Our approach is then to start with two dimensions and give an in-depth explanation of how the local RG is applied in this comparatively simple context. Then, having established the general

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<sup>1</sup>For the same reason, the reference list here is not intended to reflect the extensive literature on this subject, but is limited for the most part to those papers that were used more or less directly in preparing this review. For a more general review, see, for example, [21] and references therein.

principles, we describe the key points in the four-dimensional theory in a relatively condensed form, without over-burdening the presentation with excessive detail.

We therefore begin in section 2 with a discussion of the theory of renormalisation with local couplings and how the divergent contact terms appearing in the Green functions of composite operators, specifically the energy-momentum tensor, are renormalised. Some of these derivations are worked through in different ways to illustrate the self-consistency of the formalism and to build experience in the general theory, with the more intricate details relegated to appendices A and B.

In section 3, the more elegant methods of the modern local RG and anomalous Ward identities are introduced, together with the all-important Weyl consistency conditions. Then, in section 4 we present a number of different derivations of the  $c$ -theorem in two dimensions, with the aim of gaining insight into which may be generalised to four dimensions, and where features specific to the dimension arise.

The analysis is then repeated for four-dimensional QFTs, with section 5 describing the local RG and Weyl consistency conditions while section 6 discusses the generalisation of the various derivations of the  $c$ -theorem described in section 4 – the spectral function approach yielding flow equations related to  $\beta_c$  and  $\beta_b$ , a direct RG analysis of the two-point functions in momentum and position space, the Weyl consistency relation for  $\beta_a$ , and the dispersion relation derivation of the weak  $a$ -theorem.

Finally, in section 7, we describe a beautiful result due to Fortin, Grinstein and Stergiou [22] which uses the local RG in QFTs with a global internal symmetry to resolve an apparent paradox concerning RG flows which end on a limit cycle, and sheds new light on the true nature of the space of couplings on which the renormalisation group acts. Section 8 contains a summary and some additional reflections.

## 1.1 Scale, Conformal and Weyl invariance

The maximal extension of the Lorentz group for massless particles in four dimensions is the  $SO(2, 4)$  conformal group. This has 15 generators:  $J_{\mu\nu}$  (Lorentz transformations and rotations),  $P_\mu$  (translations),  $K_\mu$  (special conformal transformations) and  $D$  (dilatations). The commutation relations for the generators of the Poincaré group

$ISO(1, 3)$  are

$$\begin{aligned}
[J_{\mu\nu}, J_{\rho\sigma}] &= i (\eta_{\mu\rho} J_{\nu\sigma} - \eta_{\mu\sigma} J_{\nu\rho} - \eta_{\nu\rho} J_{\mu\sigma} + \eta_{\nu\sigma} J_{\mu\rho}) \\
[J_{\mu\nu}, P_\rho] &= i (\eta_{\mu\rho} P_\nu - \eta_{\nu\rho} P_\mu) \\
[P_\mu, P_\nu] &= 0 .
\end{aligned} \tag{1.10}$$

where  $\eta_{\mu\nu}$  is the Minkowski metric. For a global scale-invariant theory, we have also have the dilatations,

$$\begin{aligned}
[J_{\mu\nu}, D] &= 0 \\
[P_\rho, D] &= iP_\rho .
\end{aligned} \tag{1.11}$$

while including special conformal transformations extends the symmetry group to  $SO(2, 4)$ ,

$$\begin{aligned}
[J_{\mu\nu}, K_\sigma] &= i (\eta_{\mu\sigma} K_\nu - \eta_{\nu\sigma} K_\mu) \\
[P_\rho, K_\sigma] &= 2i (\eta_{\rho\sigma} D + J_{\rho\sigma}) \\
[D, K_\sigma] &= iK_\sigma \\
[K_\rho, K_\sigma] &= 0 .
\end{aligned} \tag{1.12}$$

Note that the algebra comprising only  $J_{\mu\nu}$ ,  $P_\rho$  and  $D$  closes, to the semi-direct product  $ISO(1, 3) \rtimes R$ , so in principle a theory can be scale invariant without also being invariant under the full conformal group  $SO(2, 4)$ .

Translation invariance implies the existence of a conserved, symmetric energy-momentum tensor  $T_{\mu\nu}$ , that is

$$\partial^\mu T_{\mu\nu} = 0 . \tag{1.13}$$

Global scale transformations are defined by  $x^\mu \rightarrow \lambda x^\mu$ , with  $\lambda$  constant. If the trace of the energy-momentum tensor is a total derivative,

$$T^\mu{}_\mu = \partial^\mu V_\mu , \tag{1.14}$$

for some ‘virial current’  $V_\mu$ , then there exists a conserved scale current,  $\partial^\mu D_\mu = 0$ , given in terms of the energy-momentum tensor by  $D_\mu = x^\nu T_{\mu\nu} - V_\mu$ . This is related to the dilatation generator by  $D = \int d^3x D_0$ .

Special conformal transformations are defined by  $x^\mu \rightarrow \frac{x^\mu + a^\mu x^2}{1 + 2a \cdot x + a^2 x^2}$ . In this case, if

$$T^\mu{}_\mu = \partial^\mu \partial^\nu L_{\mu\nu} , \tag{1.15}$$



which follows if  $V_\mu = \partial^\nu L_{\nu\mu}$ , then there exists a conserved special conformal current,  $\partial^\mu K_{\mu\rho} = 0$ , with the corresponding generator  $K_\rho = \int d^3x K_{0\rho}$ , given by  $K_{\mu\rho} = (x^2\eta_{\rho\nu} - 2x_\rho x_\nu)T^\nu_\mu - 2x_\rho V_\mu + 2L_{\mu\rho}$ . A suitable redefinition of  $T_{\mu\nu}$  then gives a conserved, symmetric *and* traceless operator. These properties of the trace of the energy-momentum tensor therefore provide a criterion to determine whether a theory is fully conformal invariant or simply scale invariant.<sup>2</sup> This will be important when we discuss scale and conformal invariance in the context of limit cycles in section 7.

In curved spacetime, we define the energy-momentum tensor as

$$T_{\mu\nu}(x) = \frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}(x)} . \quad (1.16)$$

Diffeomorphism invariance of the action  $S$  implies the conservation of  $T_{\mu\nu}$ ,

$$D^\mu T_{\mu\nu} = 0 . \quad (1.17)$$

Weyl transformations are defined as a scaling of the metric,  $g^{\mu\nu} \rightarrow \Omega^2 g^{\mu\nu}$ , and we write  $\Omega(x) = e^{\sigma(x)}$ . Global Weyl transformations (with  $\sigma$  constant) are a symmetry if the action is invariant, *i.e.* if the Lagrangian transforms as  $\delta\mathcal{L} = -\sigma D^\mu V_\mu$  for some current  $V_\mu$ . Then,

$$T^\mu{}_\mu = \frac{2}{\sqrt{-g}} g^{\mu\nu} \frac{\delta S}{\delta g^{\mu\nu}} = D^\mu V_\mu . \quad (1.18)$$

Local Weyl invariance under  $g^{\mu\nu} \rightarrow e^{2\sigma(x)} g^{\mu\nu}$  implies the energy-momentum tensor is strictly traceless,

$$T^\mu{}_\mu = 0 . \quad (1.19)$$

However, Weyl invariance is broken in the quantum theory in curved spacetime, even for free field theories, and there is a Weyl anomaly proportional to the curvature. In two dimensions,

$$T^\mu{}_\mu = \frac{c}{24\pi} R , \quad (1.20)$$

where  $c$  is the central charge of the infinite-dimensional Virasoro algebra which extends the conformal group in two dimensions. For free theories with  $n_f$  fermions and  $n_s$  scalars,  $c = n_s + \frac{1}{2}n_f$ .

In four dimensions,

$$T^\mu{}_\mu = cF_4 + aE_4 + \frac{1}{9}bR^2 + \hat{b}\Box R , \quad (1.21)$$

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<sup>2</sup>The issue of whether a quantum field theory can be globally scale invariant without being special conformal invariant has a substantial and still active literature. For recent developments and a review, see for example [21–24]

where  $F_4$  is the square of the Weyl tensor  $C_{\mu\nu\rho\sigma}$  and  $E_4$  is the Euler density. The  $\square R$  term can always be removed by adding a finite local counterterm to the action and will be neglected from now on. Explicitly,

$$\begin{aligned} F_4 &= R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - 2R^{\mu\nu} R_{\mu\nu} + \frac{1}{3}R^2 = C^{\mu\nu\rho\sigma} C_{\mu\nu\rho\sigma} , \\ E_4 &= R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} + 4R^{\mu\nu} R_{\mu\nu} + R^2 = \frac{1}{4}\epsilon^{\mu\nu\rho\sigma}\epsilon_{\alpha\beta\gamma\delta}R^{\alpha\beta}{}_{\mu\nu}R^{\gamma\delta}{}_{\rho\sigma} . \end{aligned} \quad (1.22)$$

For free theories,  $a = \frac{1}{360}(n_s + 11n_f + 62n_v)$  and  $c = -\frac{1}{120}(n_s + 6n_f + 12n_v)$ .

In addition, for interacting theories in flat or curved spacetime, the energy-momentum tensor has the usual conformal anomaly proportional to the renormalisation group beta functions. With marginal couplings  $\mathcal{L} \sim g^I O_I$  in the Lagrangian, this is

$$T^\mu{}_\mu = \beta^I O_I , \quad (1.23)$$

where  $O_I$  denotes the corresponding renormalised composite operator. Also note that, as we see later, the coefficient  $b \sim O(\beta^I)$  in (1.21) and so vanishes at a fixed point.

## 1.2 Renormalisation group flows and the Zamolodchikov c-theorem

Our key motivation is to determine topological properties of the manifold of quantum field theories by studying the flow of couplings under the renormalisation group (RG), *i.e.* flows generated by the beta functions  $\beta^I$  which may be regarded as vector fields on the space of couplings. In particular, we are looking for information on the possible UV and IR behaviour of theories, the existence of fixed points and limit cycles and the RG flow in their vicinity, constraints on phase transitions, and so on.

The question of whether RG flows must end on a fixed point, or whether limit cycles or more intricate, even chaotic, behaviour is possible, is especially interesting. In particular, we would like to know whether the theory at a fixed point or on a limit cycle is necessarily conformal, or whether it could be scale invariant but not fully conformal.

An important constraint on RG flows for two-dimensional QFTs was given in 1986 by Zamolodchikov [1, 2], who found a function  $C(g)$  whose RG flow is monotonically decreasing. This appears to rule out limit cycles in two dimensions. Moreover, this ‘c-theorem’ has a clear physical interpretation, since at a fixed point,  $C(g_*) = c$ , the central charge of the corresponding Virasoro algebra, which counts the (weighted) degrees of freedom. The theorem shows that under a flow from UV to IR fixed points,  $c_{IR} < c_{UV}$ , which we refer to as the ‘weak c-theorem’.

In this paper, we present a variety of different proofs of the  $c$ -theorem in two dimensions, each of which illustrates a different aspect of the underlying physics. This also points the way towards our ultimate goal, a possible proof of the analogue of the theorem in four dimensions.

We begin with a sketch of the original proof of the  $c$ -theorem presented by Zamolodchikov [1]. We work in 2-dim Euclidean space, and introduce complex spacetime coordinates  $z, \bar{z}$  where  $z = x^1 + ix^2$ . The independent components of the energy-momentum tensor  $T_{\mu\nu}$  are then  $T_{zz}$ ,  $T_{\bar{z}\bar{z}}$  and  $T_{z\bar{z}} = T_{\bar{z}z}$ , and the conservation equation becomes

$$\partial_{\bar{z}} T_{zz} + 4\partial_z T_{z\bar{z}} = 0 . \quad (1.24)$$

Zamolodchikov now defines a set of dimensionless functions from the two-point correlation functions of the energy-momentum tensor:

$$\begin{aligned} F &= (2\pi)^2 z^4 \langle T_{zz}(x) T_{\bar{z}\bar{z}}(0) \rangle \\ H &= (2\pi)^2 z^3 \bar{z} \langle T_{z\bar{z}}(x) T_{\bar{z}\bar{z}}(0) \rangle \\ G &= (2\pi)^2 z^2 \bar{z}^2 \langle T_{z\bar{z}}(x) T_{z\bar{z}}(0) \rangle , \end{aligned} \quad (1.25)$$

where  $F = F(\mu|z|)$ , *etc.* The pre-factors of  $O(z^4)$  remove any short distance singularities in the two-point functions. The  $C$  function is then constructed as the combination,

$$C = 2 \left( F - \frac{1}{2} H - \frac{3}{16} G \right) . \quad (1.26)$$

This has the following crucial property, which follows from the conservation equation (1.24):

$$\frac{\partial C}{\partial(\log|z|)} = -\frac{3}{2} G , \quad (1.27)$$

and using dimensional analysis and the renormalisation group equation, we find

$$\beta^I \frac{\partial}{\partial g^I} C = \frac{3}{2} G . \quad (1.28)$$

If we now use the trace anomaly equation (1.23), *viz.*  $T_{z\bar{z}} = \beta^I O_I$ , and define the function  $G_{IJ}$  as

$$G_{IJ} = (2\pi)^2 z^2 \bar{z}^2 \langle O_I(x) O_J(0) \rangle , \quad (1.29)$$

we can rewrite (1.28) in the form

$$\beta^I \frac{\partial}{\partial g^I} C = \frac{3}{2} G_{IJ} \beta^I \beta^J \leq 0 . \quad (1.30)$$

This is the Zamolodchikov  $c$ -theorem. The final inequality in (1.30) follows from the fact that the function  $G_{IJ}$  defines a positive-definite ‘metric’ on the space of couplings. This shows that the function  $C(g)$  is monotonically decreasing under a renormalisation group flow to the IR.

At a fixed point,  $\beta^I(g_*) = 0$  and so  $H(g_*) = G(g_*) = 0$ , and  $C(g_*)$  is determined purely by the correlator  $z^4 \langle T_{zz}(x) T_{\bar{z}\bar{z}}(0) \rangle$ . Since these correlators are determined uniquely by the central charge, we can deduce  $C(g_*) = c$ .

### 1.3 Local renormalisation group

The main methodology for analysing Green functions of the energy-momentum tensor and the associated Zamolodchikov-like theorems constraining RG flows is the local renormalisation group.

The key idea of promoting the coupling constants of the original QFT to be functions of position and relating their RG flow to local Weyl transformations was introduced by Drummond and Shore [3] in their study of trace anomalies for interacting QFTs in curved spacetime. The term ‘local renormalisation group’ itself was initially used in [4] where a RGE involving a position-dependent scale  $\mu(x)$  was proposed in the context of establishing diffeomorphism invariant conditions for conformal invariance in string-related sigma models. Since then, largely through the seminal work of Osborn [], the idea of a local RGE has been perfected and developed into what is now a mature and comprehensive formalism for analysing anomalous Weyl, scale and conformal invariance and the associated RG flows.

From the definition (1.16), it follows that the expectation value of the trace of the energy-momentum tensor is determined by the response of the generating functional  $W$  to a Weyl scaling of the metric, where  $W$  is given by the sum of vacuum Feynman diagrams. The essential observation of [3] was that in evaluating the dependence of these diagrams on the Weyl scaling  $g^{\mu\nu} \rightarrow \Omega(x)^2 g^{\mu\nu}$ , under which the propagator transforms as  $\Delta_F(x, y) \rightarrow \Omega(x)^{n/2-1} \Delta_F(x, y) \Omega(y)^{n/2-1}$ , the Weyl factor  $\Omega(x)$  enters at the vertices in exactly the same way as the RG scale associated with the anomaly. Since the bare and renormalised couplings are related by  $g_B = \mu^{4-n} (g + L(g))$ , where  $L(g)$  is a series of pole terms in dimensional regularisation, we see that  $\Omega(x)$  may be absorbed into a *position-dependent* RG scale  $\mu(x) = \mu \Omega(x)^{-1}$ . Introducing the corresponding *local* renormalised coupling  $g(x)$  and associated beta function following the usual RG

prescription<sup>3</sup> with  $\mu(x)$ , it was concluded in [3] that

$$\Omega(x) \frac{\delta W}{\delta \Omega(x)} = \beta(g(x)) \frac{\delta W}{\delta g(x)} + \mathcal{A} , \quad (1.31)$$

where the first term on the rhs defines the renormalised normal product  $[\phi^4(x)]$  while  $\mathcal{A}$  denotes the purely curvature contributions to the trace anomaly (1.21).

This approach was subsequently formalised in [4] and compared in detail with the complete renormalisation of the trace anomaly in  $\phi^4$  in terms of normal products presented in [25]. Here, the local RGE was expressed in terms of a position-dependent RG scale  $\mu(x)$  in the form

$$\left[ \mu(x) \frac{\delta}{\delta \mu(x)} + \beta^I \frac{\delta}{\delta g^I(x)} + \beta_\eta^a \frac{\delta}{\delta \eta^a(x)} + \beta_c \frac{\delta}{\delta c(x)} + \beta^a \frac{\delta}{\delta a(x)} + \beta_b \frac{\delta}{\delta b(x)} + \dots \right] W = 0 , \quad (1.32)$$

where we have used the notation  $O_I$  for the dimension 4 operators,  $O_a$  for dimension 2 operators such as  $[\phi^2]$ , and a coupling  $\eta^a$  for the operators of the form  $RO_a$ . (Note that terms explicitly dependent on  $\eta^a$  itself have been omitted in (1.32), together with the contributions from the renormalisation of the dimension 2 operators.) This may then be converted to an equation for the local Weyl variation using the dimensional relation

$$\Omega(x) \frac{\delta W}{\delta \Omega(x)} = \mu(x) \frac{\delta W}{\delta \mu(x)} + \partial_\mu \mathcal{W}^\mu + \dots , \quad (1.33)$$

where the total divergence  $\partial_\mu \mathcal{W}^\mu$  contains divergent terms such as  $c_B \partial^2 R$  and  $\eta_B^a \partial^2 O_a$  arising from the local variation of the curvature, which is not wholly reflected in the dependence on the RG scale  $\mu(x)$ . (Recall that with  $\Omega^2(x) = e^{2\sigma(x)}$ ,  $R \rightarrow 2\sigma(x)R + 6\partial^2\sigma(x)$  in four dimensions.)

In [4], this form of local RGE was used together with the Ward identity for diffeomorphisms to establish that in string-related sigma models, the condition for conformal invariance is actually the vanishing of generalised, diffeomorphism invariant, beta functions rather than those occurring in the standard RGE. We will return to related issues in section 7. However, it was already noted in that paper that with this renormalisation of the Lagrangian parameters, the functional derivatives  $\delta W / \delta g^I$  only defined finite normal products  $[O_I]$  up to total divergences. Moreover, since the Weyl variation

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<sup>3</sup>See section 2.1. In the original discussion [3, 4], the bare coupling  $g_B$  was considered to be constant, with the entire position-dependence of the running coupling  $g(x)$  depending implicitly on the Weyl scaling  $\Omega(x)$ . This can of course be relaxed, with the couplings regarded completely as position-dependent sources.

on the lhs of (1.33) is manifestly finite, it follows that the local RG scale variation  $\mu(x)\delta W/\delta\mu(x)$ , is itself only finite up to total derivatives.

These problems were resolved by Osborn [5–7], and Jack and Osborn [8], who made the crucial step of recognising that a complete renormalisation of the QFT requires the addition of further counterterms depending on derivatives of the position-dependent couplings. Examples include  $e_I R D^2 g^I$ ,  $d_I^a O_a D^2 g^I$ ,  $a_{IJ} D^2 g^I D^2 g^J$  etc. The first two provide the necessary extra counterterms to ensure finiteness of the normal product  $[O_I] = \delta W/\delta g^I(x)$  (compare with appendix B of [4]) while the latter renormalises the contact terms arising in the two-point Green functions  $\langle O_I O_J \rangle$ . In addition, the local RGE should be formulated directly as an equation for the Weyl variation  $\Omega(x)\delta W/\delta\Omega(x)$ , bypassing the introduction of the local RG scale and defining running couplings directly in terms of their Weyl scaling, as shown explicitly in [4].

In this review, we will build all this up in stages, beginning with the renormalisation of two-dimensional QFTs with running couplings in section 2 and finally progressing to four-dimensional theories with global symmetries in section 7. In anticipation, however, it will be useful to outline the form of the local RGE in its modern presentation [9] immediately. Since the full RGE comprising dimension 4 and 2 operators and symmetry currents is extremely complicated and contains a plethora of terms, we refer the reader to the comprehensive recent papers by Jack and Osborn [26] and by Baume, Keren-Zur, Rattazzi and Vitale [27].

The local RGE is written in terms of the Weyl variation operators, which take the most general form constrained by power counting and symmetries. For a transformation  $g^{\mu\nu} \rightarrow 2\sigma(x)g^{\mu\nu}$ , we define

$$\begin{aligned}\Delta_\sigma^W &= 2 \int d^4x \, \sigma g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}} \\ \Delta_\sigma^\beta &= \int d^4x \left[ \sigma \beta^I \frac{\delta}{\delta g^I} + (\sigma \rho_I^A D_\mu g^I - \partial_\mu \sigma S^A) \frac{\delta}{\delta A_\mu^A} + (\sigma (\gamma_b^a - 2\delta_b^a) m^b + \eta^a R + \dots) \frac{\delta}{\delta m^a} \right]\end{aligned}\tag{1.34}$$

where as before the couplings  $g^I$  are sources for  $O^I$ , and we have defined  $m^a$  (which have dim 2) as sources for  $O_a$  and  $A_\mu^A$  as sources for  $G_F$  global symmetry currents  $J_\mu^A$ .  $D_\mu g^I$  denotes a  $G_F$  covariant derivative. The local RGE can then be presented as

$$(\Delta_\sigma^W - \Delta_\sigma^\beta) W = \int d^4x \sqrt{-g} \mathcal{A}, \tag{1.35}$$

where the anomaly is

$$\begin{aligned} & \int d^4x \sqrt{-g} \mathcal{A} \\ &= \int d^4x \sqrt{-g} \left[ \sigma \left( \beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \frac{1}{3} \chi_I^e \partial^\mu R D_\mu g^I + \frac{1}{2} \chi_{IJ}^a D^2 g^I D^2 g^J + \dots \right) + \partial_\mu \sigma Z^\mu \right] \end{aligned} \quad (1.36)$$

where the nature of the total derivative term  $Z^\mu$  is discussed below. The local RGE in its conventional form follows from differentiation wrt the position-dependent scale parameter  $\sigma(x)$ , giving

$$\left( \Omega(x) \frac{\delta}{\delta \Omega(x)} - \beta^I \frac{\delta}{\delta g^I(x)} - \dots \right) W = \beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \dots + D_\mu Z^\mu . \quad (1.37)$$

The standard RGE is recovered from (1.35) by considering a global Weyl scaling with  $\sigma = \text{constant}$ , in which case the novel total derivative terms do not contribute. The dimensional relation is simply

$$\left( \int d^4x \left[ \Omega(x) \frac{\delta}{\delta \Omega(x)} + 2m^a(x) \frac{\delta}{\delta m^a(x)} \right] + \mu \frac{\partial}{\partial \mu} \right) W = 0 , \quad (1.38)$$

and the RGE follows (see section 3.3).

With this overview, we are ready to build up our picture of the local renormalisation group in two and then four dimensions, and apply the results to obtain a deeper understanding of the Zamolodchikov  $c$ -theorem and its possible generalisations in higher dimensions.

## 2 Renormalisation and the Conformal Anomaly

In order to study the conformal anomaly and related Green functions of the energy-momentum tensor, we need to renormalise the quantum field theory in curved spacetime including sources for all the operators of interest. In this section, which follows [7], we restrict to two dimensions and consider an interaction Lagrangian of the form  $\mathcal{L} = g^I O_I$ , where the  $O_I$  are a complete set of dimension 2 operators. The corresponding sources are simply the position-dependent couplings  $g^I(x)$ . In addition to the conventional renormalisation of the bare parameters, there are then further counterterms depending on the spacetime curvature and on derivatives of the couplings. The latter provide the extra counterterms required to define renormalised Green functions of the composite operators  $O_I$ , removing the contact-term divergences which arise even in flat spacetime.

## 2.1 Renormalisation and local couplings

In dimensional regularisation, the action is therefore

$$S = S_0 + \int d^n x \sqrt{-g} \left( g_B^I O_I + \frac{1}{2(n-1)} c_B R - \Lambda_B \right) , \quad (2.1)$$

where the free action  $S_0$  is invariant under Weyl transformations. The bare couplings are defined as

$$\begin{aligned} g_B^I &= \mu^{-\epsilon} (g^I + L^I(g)) \\ c_B &= \mu^{-\epsilon} (c + L_c(g)) \\ \Lambda_B &= \mu^{-\epsilon} (\Lambda + L_\Lambda(g)) , \end{aligned} \quad (2.2)$$

where

$$L_\Lambda = \frac{1}{2} A_{IJ}(g) \partial_\mu g^I \partial^\mu g^J . \quad (2.3)$$

Here,  $\epsilon = 2 - n$  and  $L^I, L_c, A_{IJ}$  denote series of poles in  $1/\epsilon$  as usual. The renormalised couplings  $c$  and  $\Lambda$  will normally be taken as zero. Notice that the definition of  $g_B$  implies that the regularised bare operator  $O_B^I$  has dimension 2.

Beta functions  $\beta_c$  and  $\beta_\Lambda$  are defined from these couplings in the same way as the standard beta function  $\beta^i$ . Explicitly,

$$\hat{\beta}^I \equiv \mu \frac{d}{d\mu} g^I = \epsilon g^I + \beta^I , \quad (2.4)$$

with

$$\beta^I = \epsilon L^I - \mu \frac{d}{d\mu} L^I . \quad (2.5)$$

Note that this is a mass-independent renormalisation scheme so the counterterms have no explicit  $\mu$ -dependence, *i.e.*  $\mu \frac{\partial}{\partial \mu} L^I = 0$ . In the same way,

$$\hat{\beta}_c \equiv \mu \frac{d}{d\mu} c = \epsilon c + \beta_c , \quad (2.6)$$

with

$$\beta_c = \epsilon L_c - \mu \frac{d}{d\mu} L_c , \quad (2.7)$$

and

$$\hat{\beta}_\Lambda = \epsilon \Lambda + \beta_\Lambda , \quad (2.8)$$

with

$$\beta_\Lambda = \epsilon L_\Lambda - \mu \frac{d}{d\mu} L_\Lambda . \quad (2.9)$$



To evaluate the final term, we use

$$\mu \frac{d}{d\mu} = \mu \frac{\partial}{\partial \mu} + \int d^n x \left( \hat{\beta}^I \frac{\delta}{\delta g^I(x)} + \hat{\beta}_c \frac{\delta}{\delta c(x)} + \hat{\beta}_\Lambda \frac{\delta}{\delta \Lambda(x)} \right) , \quad (2.10)$$

and all couplings are ultimately set to their constant physical values. In what follows, we frequently simply write  $\hat{\beta}^I \frac{\partial}{\partial g^I}$  as shorthand for  $\int d^n x \hat{\beta}^I \frac{\delta}{\delta g^I(x)}$  and also abbreviate  $\frac{\partial}{\partial g^I} \equiv \partial_I$ . It then follows that

$$\beta_\Lambda = \frac{1}{2} \chi_{IJ} \partial_\mu g^I \partial^\mu g^J \quad (2.11)$$

with

$$\chi_{IJ} = \epsilon A_{IJ} - \mathcal{L}_{\hat{\beta}} A_{IJ} , \quad (2.12)$$

where the Lie derivative is given by

$$\mathcal{L}_{\hat{\beta}} A_{IJ} = \hat{\beta}^K \partial_K A_{IJ} + \partial_I \hat{\beta}^K A_{KJ} + \partial_J \hat{\beta}^K A_{IK} . \quad (2.13)$$

Finally, notice that these RG functions are not unique but depend on the renormalisation scheme. This arbitrariness corresponds to the freedom to add a local functional to the action of the form

$$\delta S = \int d^2 x \sqrt{-g} \left( \frac{1}{2} b R - \frac{1}{2} a_{IJ} \partial_\mu g^I \partial^\mu g^J \right) . \quad (2.14)$$

This gives rise to the following shifts in the RG functions:<sup>4</sup>

$$\delta \beta_c = \mathcal{L}_\beta b \equiv \beta^I \partial_I b , \quad \delta \chi_{IJ} = \mathcal{L}_\beta a_{IJ} . \quad (2.15)$$

## 2.2 Trace Anomaly

Recall that the energy-momentum tensor is derived as the variation of the curved-spacetime action with respect to the metric:

$$T_{\mu\nu}(x) = \frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}(x)} . \quad (2.16)$$

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<sup>4</sup>For the RG functions introduced in the following section, (2.15) implies

$$\delta \tilde{\beta}_c = a_{IJ} \beta^I \beta^J , \quad \delta w_I = -\partial_I b + a_{IJ} \beta^J .$$

Since the metric is itself a finite parameter, this defines a renormalised operator. To evaluate this, we need the variation of the curvature counterterms, especially

$$\frac{\delta}{\delta g^{\mu\nu}(x)} R(y) = \sqrt{-g} (R_{\mu\nu} + \Delta_{\mu\nu}) \delta(x, y) , \quad (2.17)$$

where we define  $\Delta_{\mu\nu} = g_{\mu\nu} D^2 - D_\mu D_\nu$ . After contracting with the metric, this gives the following expression in terms of bare quantities for the trace of the energy-momentum tensor:

$$T^\mu{}_\mu = \epsilon \left( g_B^I O_{BI} + \frac{1}{2(n-1)} c_B R - \Lambda_B \right) + D^2 c_B + 2\mu^{-\epsilon} \Lambda . \quad (2.18)$$

The renormalised operators  $O_I$  are defined as the functional derivatives of the action with respect to the couplings, viz.

$$O_i = \frac{1}{\sqrt{-g}} \frac{\delta S}{\delta g^I} . \quad (2.19)$$

The curvature and derivative counterterms therefore contribute:

$$\begin{aligned} O_i &= \frac{1}{\sqrt{-g}} \frac{d}{\delta g^I} \int d^n x \sqrt{-g} \left( g_B^J O_{BJ} + \frac{1}{2(n-1)} c_B R - \Lambda_B \right) \\ &= Z_I^J O_{BJ} + \mu^{-\epsilon} \left( \frac{1}{2(n-1)} \partial_i L_c R - \frac{1}{2} \partial_I A_{JK} \partial g^J \partial g^K + D_\mu (A_{IJ} \partial^\mu g^J) \right) . \end{aligned} \quad (2.20)$$

with

$$Z_{IJ} = \mu^{-\epsilon} (\delta_{IJ} + \partial_I L_J) . \quad (2.21)$$

This can be re-expressed, after a short but intricate calculation (see appendix A), in terms of the beta functions:

$$T^\mu{}_\mu = \hat{\beta}^I O_I + \mu^{-\epsilon} \left( \frac{1}{2(n-1)} \hat{\beta}_c R - \hat{\beta}_\Lambda \right) + \mu^{-\epsilon} (D^2 c + 2\Lambda) + \mu^{-\epsilon} D_\mu Z^\mu , \quad (2.22)$$

with

$$Z_\mu = \partial_\mu L_c - \hat{\beta}^I A_{IJ} \partial_\mu g^J . \quad (2.23)$$

Finally, we define the key function  $w_i$  [7] through

$$Z_\mu = w_I \partial_\mu g^I \quad (2.24)$$

and reducing to  $n = 2$  and setting the couplings  $c = \Lambda = 0$ , we find our final result for the energy-momentum tensor trace:

$$T^\mu{}_\mu = \beta^I O_I + \frac{1}{2} \beta_c R - \beta_\Lambda + D_\mu Z^\mu . \quad (2.25)$$

The rhs is the conformal, or trace, anomaly. As well as the familiar operator and curvature contributions, this expression includes the dependence on derivatives of the position-dependent couplings. These are crucial in renormalising higher-point Green functions involving  $T^\mu{}_\mu$ . Evaluating with the couplings set to constants, we recover the familiar expression for the conformal anomaly:

$$\langle T^\mu{}_\mu \rangle - \langle \Theta \rangle = \frac{1}{2} \beta_c R , \quad (2.26)$$

where  $\Theta \equiv \beta^I O_I$  is the usual operator anomaly and  $\frac{1}{2} \beta_c R$  is the curvature contribution.

Since all the other terms in (2.25) are finite, it follows that  $Z^\mu$  itself must also be finite. This gives rise to a consistency condition which plays an important role in the analysis of the  $c$ -theorem. Indeed, as we see later, this is equivalent to the Wess-Zumino consistency condition which follow from the abelian nature of the Weyl anomaly. To derive this, we start from the definition (2.23) of  $Z_\mu$  and apply the operator  $\epsilon - \hat{\beta}^K \partial_K$  to both sides, or equivalently act with  $\mu \frac{d}{d\mu}$  on  $\mu^{-\epsilon} Z_\mu$ . We have

$$\left( \epsilon - \hat{\beta}^K \partial_K \right) \left( \partial_\mu L_c - \hat{\beta}^I A_{IJ} \partial_\mu g^J \right) = \left( \partial_I \beta_c - \chi_{IJ} \hat{\beta}^J \right) \partial_\mu g^I \quad (2.27)$$

and

$$\left( \epsilon - \hat{\beta}^K \partial_K \right) (w_I \partial_\mu g^I) = - \left( \epsilon g^J \partial_J w_I + \beta^J \partial_J w_I + \partial_I \beta^J w_J \right) \partial_\mu g^I . \quad (2.28)$$

Equating the terms of  $O(1)$ , we isolate the crucial identity

$$\partial_I \beta_c = \chi_{IJ} \beta^J - \mathcal{L}_\beta w_I . \quad (2.29)$$

If we now define

$$\tilde{\beta}_c = \beta_c + \beta^I w_I \quad (2.30)$$

we can rewrite (2.29) in the form

$$\partial_I \tilde{\beta}_c = \chi_{IJ} \beta^J + (\partial_I w_J - \partial_J w_I) \beta^J . \quad (2.31)$$

Notice the crucial role played here by the function  $w_I$ , introduced by Osborn [7] in his analysis of renormalisation in the presence of position-dependent couplings.

Finally, contracting with the beta function, we derive the Weyl consistency condition for the RG flow of the modified coefficient  $\tilde{\beta}_c$  of the Euler term in the trace anomaly:

$$\beta^I \partial_I \tilde{\beta}_c = \chi_{IJ} \beta^I \beta^J . \quad (2.32)$$

Clearly, this condition has a close resemblance to the form of the  $c$ -theorem in two dimensions [7]. We will explore this connection further in what follows.

### 2.3 Renormalisation of two-point Green functions

As noted above, the position-dependent couplings  $g^I(x)$  act as sources for the composite operators  $O_I$ , while the counterterms proportional to  $\partial_\mu g^I(x)$  provide the contact terms required to renormalise their higher-point Green functions.

We first define the renormalised two-point functions of the energy-momentum tensor in curved spacetime so as to be symmetric, *i.e.*<sup>5</sup>

$$i\langle T_{\mu\nu}(x) T_{\rho\sigma}(y) \rangle = \frac{2}{\sqrt{-g(x)}} \frac{2}{\sqrt{-g(y)}} \frac{\delta}{\delta g^{\mu\nu}(x)} \frac{\delta}{\delta g^{\rho\sigma}(y)} W \quad (2.33)$$

and similarly

$$i\langle O_I(x) O_J(y) \rangle = \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \frac{\delta}{\delta g^I(x)} \frac{\delta}{\delta g^J(y)} W . \quad (2.34)$$

With these definitions, we readily find the following relations between the bare and renormalised two-point functions:

$$\begin{aligned} i\langle T^\mu{}_\mu(x) T^\lambda{}_\lambda(y) \rangle - i\langle T^\mu{}_\mu(x) T^\lambda{}_\lambda(y) \rangle_B + 2\langle T^\mu{}_\mu(x) \rangle \delta(x, y) \\ = \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \left\langle \frac{\delta^2 S}{\delta \sigma(x) \delta \sigma(y)} \right\rangle \end{aligned} \quad (2.35)$$

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<sup>5</sup>We further define the two-point functions of the trace  $T^\mu{}_\mu(x)$  so that

$$\begin{aligned} i\langle T^\mu{}_\mu(x) T^\lambda{}_\lambda(y) \rangle &\equiv g^{\mu\nu} g^{\rho\sigma} i\langle T_{\mu\nu}(x) T_{\rho\sigma}(y) \rangle \\ &= \frac{2}{\sqrt{-g}} g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} \left( \frac{2}{\sqrt{-g}} g^{\rho\sigma} \frac{\delta W}{\delta g^{\rho\sigma}(y)} \right) - (n+2) \langle T^\mu{}_\mu(x) \rangle \delta(x, y) , \end{aligned}$$

where the ambiguity in the definition of the renormalised Green function is reflected in the presence of the VEV of  $T^\mu{}_\mu(x)$ , itself defined by

$$\langle T^\mu{}_\mu(x) \rangle \equiv g^{\mu\nu} \langle T_{\mu\nu}(x) \rangle .$$

With Minkowski signature, these two-point functions are the usual time-ordered products. Note that throughout this paper  $\delta(x, y)$  is the delta function density, where  $\delta(x - y) = \sqrt{-g} \delta(x, y)$ , and here we have left the dimension  $n$  general for future convenience. Similarly, letting  $\Theta = \beta^I O_I$  as the operator part of the trace anomaly, we define

$$\begin{aligned} i\langle \Theta(x) \Theta(y) \rangle &\equiv \beta^I \beta^J i\langle O_I(x) O_J(y) \rangle \\ &= \frac{1}{\sqrt{-g}} \beta^I \frac{\delta}{\delta g^I(x)} \left( \frac{1}{\sqrt{-g}} \beta^J \frac{\delta W}{\delta g^J(y)} \right) - \beta^I \partial_I \beta^J \langle O_J(x) \rangle \delta(x, y) . \end{aligned}$$

and similarly

$$i\langle T^\mu_\mu(x) O_I(y) \rangle - i\langle T^\mu_\mu(x) O_I(y) \rangle_B = \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \left\langle \frac{\delta^2 S}{\delta\sigma(x)\delta g^J(y)} \right\rangle \quad (2.36)$$

$$i\langle O_I(x) O_J(y) \rangle - i\langle O_I(x) O_J(y) \rangle_B = \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \left\langle \frac{\delta^2 S}{\delta g^I(x)\delta g^J(y)} \right\rangle \quad (2.37)$$

The evaluation of the counterterms on the rhs of (2.35) - (2.37) by differentiation of the action (2.1) is again very intricate and details are given in appendix A. For the Green functions of interest here, we eventually find:

$$i\langle T^\mu_\mu(x) T^\lambda_\lambda(y) \rangle - i\langle T^\mu_\mu(x) T^\lambda_\lambda(y) \rangle_B + (2 - \epsilon)\langle T^\mu_\mu(x) \rangle \delta(x, y) = \epsilon\mu^{-\epsilon} L_c D^2 \delta(x, y) \quad (2.38)$$

$$i\langle T^\mu_\mu(x) O_I(y) \rangle - i\langle T^\mu_\mu(x) O_I(y) \rangle_B = \mu^{-\epsilon} \partial_I L_c D^2 \delta(x, y) \quad (2.39)$$

$$i\langle \hat{\Theta}(x) O_I(y) \rangle - i\langle \hat{\Theta}(x) O_I(y) \rangle_B + \partial_I \hat{\beta}^J \langle O_J(x) \rangle \delta(x, y) = -\mu^{-\epsilon} \left[ \frac{1}{2(n-1)} \partial_I \beta_c R \delta(x, y) - A_{IJ} \hat{\beta}^J D^2 \delta(x, y) \right] \quad (2.40)$$

where  $\hat{\Theta} = \hat{\beta}^I O_I$  and as usual we have set  $c = \Lambda = 0$  and omitted terms of  $O(\partial_\mu g^I)$ .

We can derive important identities amongst the renormalised connected Green functions by using the operator relation  $T^\mu_\mu \sim \beta^I O_I$  inside the *bare* Green functions. This immediately gives, for  $n = 2$ ,

$$i\langle T^\mu_\mu(x) T^\mu_\mu(y) \rangle - i\langle T^\mu_\mu(x) \Theta(y) \rangle + 2\langle T^\mu_\mu(x) \rangle \delta(x, y) = \beta_c D^2 \delta(x, y) \quad (2.41)$$

$$i\langle T^\mu_\mu(x) O_I(y) \rangle - i\langle \Theta(x) O_I(y) \rangle - \partial_I \beta^J \langle O_J(x) \rangle \delta(x, y) = \frac{1}{2} \partial_I \beta_c R \delta(x, y) + \omega_I D^2 \delta(x, y) \quad (2.42)$$

where we have used the identities  $\beta_c = \epsilon L_c - \hat{\beta}^I \partial_I L_c$  and  $\omega_I = \partial_I L_c - A_{IJ} \hat{\beta}^J$ . Notice the appearance here of  $\gamma_I^J = \partial_I \beta^J$ , which is the anomalous dimension matrix for the operators  $O_I$ .

Combining these, we find an identity which will play an important role in our analysis of the  $c$ -theorem, *viz.*

$$i\langle T^\mu_\mu(x) T^\mu_\mu(y) \rangle - i\langle \Theta(x) \Theta(y) \rangle + 2\langle T^\mu_\mu(x) \rangle \delta(x, y) - \beta^I \partial_I \beta^J \langle O_J(x) \rangle \delta(x, y) = \frac{1}{2} \beta^I \partial_I \beta_c R \delta(x, y) + \tilde{\beta}_c D^2 \delta(x, y) \quad (2.43)$$

where  $\tilde{\beta}_c = \beta_c + \beta^I \omega_I$  as defined in (2.30). Notice that requiring finiteness of this identity between renormalised Green functions implies the same consistency condition previously derived in (2.31) from finiteness of the VEV  $\langle T^\mu{}_\mu \rangle$  itself.

Finally, it is important for what follows to see how the  $\tilde{\beta}_c$  terms have arisen here as a result of the Weyl variation of the Ricci scalar (see appendix A). This is despite the fact that the integral of  $R$  over the whole spacetime is a topological invariant in two dimensions, which implies that its Weyl variation vanishes. To see this explicitly, note that under the Weyl rescaling  $\delta g^{\mu\nu} = 2\sigma g^{\mu\nu}$ , the Ricci scalar transforms in  $n$  dimensions as  $\delta R = 2\sigma R + 2(n-1)D^2\sigma$ . Then, using (2.17),

$$\frac{\delta}{\delta g^{\mu\nu}} \int d^n x \sqrt{-g} R = \sqrt{-g} G_{\mu\nu} , \quad (2.44)$$

where  $G_{\mu\nu}$  is the Einstein tensor, and so

$$\frac{\delta}{\delta \sigma} \int d^n x \sqrt{-g} R = \left(1 - \frac{n}{2}\right) \sqrt{-g} R . \quad (2.45)$$

which indeed vanishes when  $n = 2$ .

### 3 The Local Renormalisation Group and Weyl Consistency Conditions

We now come to the renormalisation group itself and derive the RGEs for the two-point Green functions which play a central role in the analysis of the  $c$ -theorem. We also develop the more algebraic approach to the local RGE and anomalous Ward identities for Weyl symmetry anticipated in section 1.3. In this section, we present these results specialised to two dimensions, though the same principles apply equally to the four-dimensional generalisation.

#### 3.1 Renormalisation Group and Green functions

The renormalisation group equations follow from the  $\mu$  independence of the generating functional, *i.e.*  $\mu \frac{d}{d\mu} W = 0$ . From (2.10), we then have the fundamental RGE,

$$\left[ \mu \frac{\partial}{\partial \mu} + \int d^2 x \left( \beta^I \frac{\delta}{\delta g^I(x)} + \beta_c \frac{\delta}{\delta c(x)} + \beta_\Lambda \frac{\delta}{\delta \Lambda(x)} \right) \right] W = 0 . \quad (3.1)$$

If we now define the reduced RG operator

$$\mathcal{D} = \mu \frac{\partial}{\partial \mu} + \beta^I \frac{\partial}{\partial g^I} , \quad (3.2)$$

using the same shorthand notation explained after (2.10), the fundamental RGE may be written as

$$\mathcal{D}W = \int d^2x \sqrt{-g} \left( -\frac{1}{2} \beta_c R + \beta_\Lambda \right) . \quad (3.3)$$

This is the standard RGE for local sources, with the couplings themselves generalised to be local functions acting as sources for the corresponding operators.

We derive the RGEs for the various one and two-point Green functions of interest by successive differentiation of (3.3) with respect to the relevant sources, in this case the metric  $g_{\mu\nu}$  and position-dependent couplings  $g^I$ . We need the commutators,

$$\left[ \frac{\delta}{\delta g^{\mu\nu}}, \mathcal{D} \right] = 0, \quad \left[ \frac{\delta}{\delta g^I}, \mathcal{D} \right] = \partial_I \beta^J \frac{\delta}{\delta g^J} , \quad (3.4)$$

and recall that the anomalous dimension matrix is  $\hat{\gamma}_I^J = \partial_I \beta^J \frac{\partial}{\partial g^J}$ .

For the one-point functions, or VEVs, we therefore have

$$\mathcal{D} \frac{\delta W}{\delta g^{\mu\nu}} = - \frac{\delta}{\delta g^{\mu\nu}} \int d^2x \sqrt{-g} \left( -\frac{1}{2} \beta_c R + \frac{1}{2} g^{\mu\nu} \chi_{IJ} \partial_\mu g^I \partial_\nu g^J \right) . \quad (3.5)$$

Now,

$$\frac{\delta}{\delta g^{\mu\nu}} \int d^2x \sqrt{-g} R = \sqrt{-g} G_{\mu\nu} = 0 , \quad (3.6)$$

since the Einstein tensor vanishes in two dimensions because  $E_2 = \int d^2x \sqrt{-g} R$  is a topological invariant. Taking the renormalised couplings  $c = \Lambda = 0$  and  $g^I = \text{const.}$ , we find simply

$$\mathcal{D} \langle T_{\mu\nu} \rangle = 0 . \quad (3.7)$$

In the same way, we have

$$\mathcal{D} \frac{\delta W}{\delta g^I} = \sqrt{-g} \left( -\frac{1}{2} \partial_I \beta_c R + \partial_I \beta_\Lambda - \partial_I \beta^J \langle O_J \rangle \right) , \quad (3.8)$$

so with the usual restriction on the couplings we find

$$\mathcal{D} \langle O_I \rangle + \gamma_I^J \langle O_J \rangle = -\frac{1}{2} \partial_I \beta_c R . \quad (3.9)$$

Iterating this procedure, we can deduce the RGEs for the two-point functions. These will include contributions arising from the counterterms introduced in the previous section to remove the new divergences which arise at coincident points.

Differentiating twice with respect to the metric, we immediately see (in two dimensions only),

$$\mathcal{D} \, i\langle T_{\mu\nu}(x) \, T_{\rho\sigma}(y) \rangle = 0 . \quad (3.10)$$

Next, using

$$\frac{\delta}{\delta g^{\mu\nu}(x)} \frac{\delta}{\delta g^I(y)} \int d^2x \sqrt{-g} \left( \frac{1}{2} \beta_c R + \beta_\Lambda \right) = \sqrt{-g(x)} \sqrt{-g(y)} \frac{1}{2} \partial_I \beta_c (G_{\mu\nu} + \Delta_{\mu\nu}) \delta(x, y) , \quad (3.11)$$

we find

$$\mathcal{D} \, i\langle T_{\mu\nu}(x) \, O_I(y) \rangle + \gamma_I^J i\langle T_{\mu\nu}(x) \, O_J(y) \rangle = -\partial_I \beta_c \Delta_{\mu\nu} \delta(x, y) , \quad (3.12)$$

where again we have used  $G_{\mu\nu} = 0$ . Finally, for the two-point functions of the operators  $O_I$ , we need

$$\frac{\delta}{\delta g^I(x)} \frac{\delta}{\delta g^J(y)} \int d^2x \sqrt{-g} \left( \frac{1}{2} \beta_c R - \beta_\Lambda \right) = \sqrt{-g(x)} \sqrt{-g(y)} \left( \frac{1}{2} \partial_I \partial_J \beta_c R + \chi_{IJ} D^2 \right) \delta(x, y) , \quad (3.13)$$

and so, iterating the commutator (3.4),

$$\begin{aligned} \mathcal{D} \, i\langle O_I(x) \, O_J(y) \rangle + \gamma_I^K i\langle O_K(x) \, O_J(y) \rangle + \gamma_J^K i\langle O_I(x) \, O_K(y) \rangle + \partial_I \partial_J \beta^K \langle O_K \rangle \delta(x, y) \\ = -\frac{1}{2} \partial_I \partial_J \beta_c R \delta(x, y) - \chi_{IJ} D^2 \delta(x, y) . \end{aligned} \quad (3.14)$$

### 3.2 Anomalous Ward identities

The anomalous Ward identities for the renormalised Green functions in section 2.3 may be derived more directly by differentiation of the corresponding anomaly equation for the VEV  $\langle T^\mu{}_\mu \rangle$  with respect to the metric and the position-dependent couplings, which in the local RG approach act as sources for the dimension 2 operators  $O_I(x)$ . To see this, start from the conformal anomaly (2.25), (2.26) for  $\langle T^\mu{}_\mu \rangle$ , but keeping the terms of  $O(\partial_\mu g^I)$  which are essential for the renormalisation of the higher-point Green functions, *viz.*

$$\langle T^\mu{}_\mu \rangle - \langle \Theta \rangle = \mathcal{A} , \quad (3.15)$$

where here

$$\mathcal{A} = \frac{1}{2} \beta_c R - \beta_\Lambda + D_\mu (\omega_I \partial^\mu g^I) . \quad (3.16)$$



This reflects the anomalous variation of the generating functional under a Weyl rescaling  $\delta g^{\mu\nu} = 2\sigma g^{\mu\nu}$ , for which (in  $n$  dimensions)

$$\delta R = 2\sigma R + 2(n-1)D^2\sigma, \quad \delta D^2 = 2\sigma D^2 - (n-2)\partial_\mu\sigma\partial^\mu, \quad (3.17)$$

along with the scaling transformation  $\delta O_I = 2\sigma O_I$  on the fundamental fields in the path integral.

The anomaly equation (3.15) is equivalent to

$$\frac{\delta W}{\delta\sigma(y)} - \beta^J \frac{\delta W}{\delta g^J(y)} = \sqrt{-g(y)} \mathcal{A}(y). \quad (3.18)$$

A functional derivative w.r.t.  $\sigma(x)$  now gives

$$\frac{\delta^2 W}{\delta\sigma(x)\delta\sigma(y)} - \beta^I \frac{\delta^2 W}{\delta\sigma(x)\delta g^I(y)} = \sqrt{-g(x)}\sqrt{-g(y)} \left( -n \mathcal{A} \delta(x, y) + \frac{1}{\sqrt{-g(x)}} \frac{\delta \mathcal{A}(y)}{\delta\sigma(x)} \right) \quad (3.19)$$

that is,

$$i\langle T^\mu{}_\mu(x) T^\rho{}_\rho(y) \rangle - i\langle T^\mu{}_\mu(x) \Theta(y) \rangle + 2\langle T^\mu{}_\mu(x) \rangle \delta(x, y) = g^{\rho\sigma}(x) \mathcal{A}_{\rho\sigma}(x, y), \quad (3.20)$$

with <sup>6</sup>

$$\mathcal{A}_{\rho\sigma}(x, y) = \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} 2 \frac{\delta}{\delta g^{\rho\sigma}(x)} \left( \sqrt{-g(y)} \mathcal{A}(y) \right). \quad (3.21)$$

Similarly, differentiating w.r.t.  $g_I(x)$ , we find

$$\frac{\delta^2 W}{\delta g^I(x)\delta\sigma(y)} - \beta^J \frac{\delta^2 W}{\delta g^I(x)\delta g^J(y)} - \partial_I \beta^J \frac{\delta W}{\delta g^J(y)} \sqrt{-g(y)} \delta(x, y) = \sqrt{-g(y)} \frac{\delta \mathcal{A}(y)}{\delta g^I(x)} \quad (3.22)$$

that is,

$$i\langle O_I(x) T^\mu{}_\mu(y) \rangle - i\langle O_I(x) \Theta(y) \rangle - \partial_I \beta^J \langle O_J(x) \rangle \delta(x, y) = \mathcal{B}_I(x, y), \quad (3.23)$$

where

$$\mathcal{B}_I(x, y) = \frac{1}{\sqrt{-g(x)}} \frac{\delta \mathcal{A}(y)}{\delta g^I(x)}. \quad (3.24)$$

Combining (3.20) and (3.23), we therefore recover the anomalous Ward identity for the two-point Green function of  $T^\mu{}_\mu$ , *viz.*

$$i\langle T^\mu{}_\mu(x) T^\mu{}_\mu(y) \rangle - i\langle \Theta(x) \Theta(y) \rangle + 2\langle T^\mu{}_\mu(x) \rangle \delta(x, y) - \beta^I \partial_I \beta^J \langle O_J(x) \rangle \delta(x, y) = \mathcal{H}(x, y) \quad (3.25)$$

---

<sup>6</sup>The notation here follows that of [16], except that here since we are using Minkowski signature we have changed the signs in the definitions of  $\mathcal{A}_{\rho\sigma}$ ,  $\mathcal{B}_I$  and  $\mathcal{H}$ .

where

$$\mathcal{H}(x, y) = g^{\rho\sigma} \mathcal{A}_{\rho\sigma}(x, y) + \beta^I \mathcal{B}_I(x, y) \quad (3.26)$$

$$= \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \left( \frac{\delta}{\delta\sigma(x)} + \beta^I \frac{\delta}{\delta g^I(x)} \right) \left( \sqrt{-g(y)} \mathcal{A}(y) \right) \quad (3.27)$$

Evaluating the anomalous terms  $\mathcal{A}_{\alpha\beta}$  and  $\mathcal{B}_I$ , we find

$$g^{\alpha\beta} \mathcal{A}_{\alpha\beta}(x, y) = \beta_c D^2 \delta(x, y) \quad (3.28)$$

$$\mathcal{B}_I(x, y) = \frac{1}{2} \partial_I \beta_c R \delta(x, y) + \omega_I D^2 \delta(x, y) \quad (3.29)$$

and so

$$\mathcal{H}(x, y) = \frac{1}{2} \beta^I \partial_I \beta_c R \delta(x, y) + \tilde{\beta}_c D^2 \delta(x, y) \quad (3.30)$$

with  $\tilde{\beta}_c = \beta_c + \beta^I \omega_I$  as before. This recovers (2.43).

At this point, we can make a crucial consistency check between the anomalous Ward identity (3.25) and the RGEs (3.10) and (3.14) for the two-point Green functions. Applying the RG operator to the lhs of (3.25), we have

$$\begin{aligned} & \mathcal{D} i \langle T^\mu{}_\mu(x) T^\mu{}_\mu(y) \rangle - \mathcal{D} i \langle \Theta(x) \Theta(y) \rangle + \mathcal{D} (2 \langle T^\mu{}_\mu \rangle - \beta^I \partial_I \beta^J \langle O_J(x) \rangle) \delta(x, y) \\ &= \frac{1}{2} (\beta^I \beta^J \partial_I \partial_J \beta_c + \beta^I (\partial_I \beta^J) \partial_J \beta_c) R \delta(x, y) + \chi_{IJ} \beta^I \beta^J D^2 \delta(x, y) , \end{aligned} \quad (3.31)$$

while

$$\mathcal{D} \mathcal{H}(x, y) = \frac{1}{2} \beta^I \partial_I (\beta^J \partial_J \beta_c) R \delta(x, y) + \mathcal{D} \tilde{\beta}_c D^2 \delta(x, y) . \quad (3.32)$$

Comparing, we find

$$\mathcal{D} \tilde{\beta}_c \equiv \beta^I \partial_I \tilde{\beta}_c = \chi_{IJ} \beta^I \beta^J , \quad (3.33)$$

which is once again the Weyl consistency condition (2.32) for the RG flow of  $\tilde{\beta}_c$ .

### 3.3 Local RGE and Weyl consistency conditions

An elegant way to summarise the essential results of this section [9] is to introduce the following variation operators for Weyl transformations and RG flows:

$$\begin{aligned} \Delta_\sigma^W &= 2 \int d^2x \, \sigma(x) g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} \\ \Delta_\sigma^\beta &= \int d^2x \, \sigma(x) \beta^I \frac{\delta}{\delta g^I(x)} . \end{aligned} \quad (3.34)$$

where recall a Weyl transformation is  $g^{\mu\nu} \rightarrow \Omega^2 g^{\mu\nu}$  with  $\Omega = e^\sigma$ . Then,

$$(\Delta_\sigma^W - \Delta_\sigma^\beta) W = \int d^2x \sqrt{-g} \left[ \sigma(x) \left( \frac{1}{2} \beta_c R - \frac{1}{2} \chi_{IJ} \partial_\mu g^I \partial^\mu g^J \right) - \partial_\mu \sigma(x) (w_I \partial^\mu g^I) \right] . \quad (3.35)$$

First, consider the restricted case where the parameter  $\sigma$  is constant, when the final total derivative term  $D_\mu Z^\mu = D_\mu (w_I \partial^\mu g^I)$  does not contribute. In this case, (3.35) is equivalent to the standard renormalisation group equation (3.1) with local sources,

$$\left[ \mu \frac{\partial}{\partial \mu} + \int d^2x \left( \beta^I \frac{\delta}{\delta g^I(x)} + \beta_c \frac{\delta}{\delta c(x)} + \beta_\Lambda \frac{\delta}{\delta \Lambda(x)} \right) \right] W = 0 . \quad (3.36)$$

together with the dimensional equation (for theories with only marginal operators),

$$\left[ \mu \frac{\partial}{\partial \mu} + \Omega \frac{\partial}{\partial \Omega} \right] W = 0 . \quad (3.37)$$

Recall that for Weyl transformations,

$$\Omega \frac{\partial}{\partial \Omega} = 2 \int d^2x g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}} . \quad (3.38)$$

For *local* Weyl transformations, corresponding to spacetime-dependent  $\sigma(x)$ , the identity (3.35) carries the full content of the local renormalisation group. Taking the functional derivative  $\delta/\delta\sigma(x)$  of (3.35), we find the local generalisation of (3.36) and (3.37):

$$\left[ \Omega(x) \frac{\delta}{\delta \Omega(x)} - \beta^I \frac{\delta}{\delta g^I(x)} - \beta_c \frac{\delta}{\delta c(x)} - \beta_\Lambda \frac{\delta}{\delta \Lambda(x)} \right] W = D_\mu Z^\mu , \quad (3.39)$$

Evaluating, we recover the now familiar expression for the trace of the energy-momentum tensor:

$$T^\mu{}_\mu = \beta^I O_I + \frac{1}{2} \beta_c R - \frac{1}{2} \chi_{IJ} \partial_\mu g^I \partial^\mu g^J + D_\mu (w_I \partial^\mu g^I) , \quad (3.40)$$

that is, the anomaly equation (3.18) of the previous section. It is therefore clear that subsequent derivatives wrt  $g^{\mu\nu}$  and  $g^I$  generate the identities amongst two-point Green functions involving the energy-momentum tensor trace found there. With (3.37), all the RGEs for these Green functions can also be deduced from (3.35).

One of the most powerful applications of this formalism, however, is in deriving the Weyl consistency conditions, which appear to be intimately related to the existence of the  $c$  and  $a$ -theorems. In two dimensions, this means determining (2.29), which we first

encountered in the context of a particular renormalisation scheme. In fact, a deeper understanding reveals these consistency conditions to be fundamentally a consequence of the abelian nature of Weyl transformations. This method is especially direct and useful in four dimensions, where the situation is considerably more complicated and there are many conditions.

Since Weyl transformations are abelian, the commutator of two variation operators vanishes, that is

$$[\Delta_\sigma^W - \Delta_\sigma^\beta, \Delta_{\sigma'}^W - \Delta_{\sigma'}^b] W = 0 . \quad (3.41)$$

Evaluating the lhs, we find

$$\int d^2x \sqrt{-g} (\sigma' \partial_\mu \sigma - \sigma \partial_\mu \sigma') (\partial_\mu \beta_c - \chi_{IJ} \beta^J \partial_\mu g^I + \beta^I \partial_I (w_J \partial_\mu g^J)) , \quad (3.42)$$

and therefore determine the Weyl consistency condition:

$$\partial_I \beta_c - \chi_{IJ} \beta^J + \mathcal{L}_\beta w_I = 0 . \quad (3.43)$$

Then as before, if we define  $\tilde{\beta}_c = \beta_c + \beta^I w_I$ , we have

$$\partial_I \tilde{\beta}_c - \chi_{IJ} \beta^J + (\partial_I w_J - \partial_J w_I) \beta^J = 0 , \quad (3.44)$$

and so

$$\beta^I \partial_I \tilde{\beta}_c = \chi_{IJ} \beta^I \beta^J . \quad (3.45)$$

## 4 c-Theorem in Two Dimensions

With this understanding of the renormalisation group and anomalous Weyl symmetry applied to Green functions of the energy-momentum tensor, we are ready to discuss the derivation of the  $c$ -theorem in two dimensions. We present several derivations, each of which brings some fresh insight and suggests possible ways forward in looking for an equivalent theorem in four-dimensional QFTs.

In two dimensional flat space, conservation of the energy-momentum tensor,  $\partial_\mu T^{\mu\nu} = 0$ , implies that the two-point Green function takes the form

$$i \langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle = \Delta_{\mu\nu} \Delta_{\rho\sigma} \Omega(t) , \quad (4.1)$$

where  $\Delta_{\mu\nu} = \partial^2 \eta_{\mu\nu} - \partial_\mu \partial_\nu$  and  $t = \frac{1}{2} \log(\mu^2 x^2)$ . Note that  $\Pi_{\mu\nu\rho\sigma}^{(0)} = \Delta_{\mu\nu} \Delta_{\rho\sigma}$  is a projection operator onto spin 0 intermediate states. In two dimensions, this is the only possible Lorentz structure. In contrast, in four dimensions there is a second projection projector  $\Pi_{\mu\nu\rho\sigma}^{(2)}$  onto spin 2 states.

## 4.1 c-theorem and the spectral function

A very clear way to understand the physical meaning of the  $c$ -theorem is to use a spectral function representation of the two-point functions [12–15]. Recall that in general the spectral function, or Källén-Lehmann, representation of a two-point function of fields  $\Phi$  in  $n$  dimensions is (note that our normalisation of  $\rho(\lambda^2)$  differs from the standard convention by a factor of  $2\pi$ ),

$$i\langle 0|T \Phi(x) \Phi(0)|0\rangle = \int_0^\infty d\lambda^2 \rho(\lambda^2) \Delta_F(x; \lambda^2) , \quad (4.2)$$

where the (positive-definite) spectral function  $\rho(\lambda^2)$  is

$$\rho(\lambda^2) = \sum_n \delta(\lambda^2 - m_n^2) |\langle 0|\Phi(0)|n\rangle|^2 , \quad (4.3)$$

and  $\Delta_F(x; m^2)$  is the Feynman propagator for a spin 0 field of mass  $m$ .<sup>7</sup> In two dimensions, for spacelike separation ( $x^2 > 0$ ), we simply have

$$\Delta_F(x; m^2) = \frac{1}{2\pi} K_0(m|x|) . \quad (4.4)$$

We now follow the derivation described in [12, 13]. The required spectral function representation for the energy-momentum tensor two-point function can be written in the form

$$\begin{aligned} i\langle T_{\mu\nu} T_{\rho\sigma}(0) \rangle &= \Delta_{\mu\nu} \Delta_{\rho\sigma} \Omega(t) \\ &= \Delta_{\mu\nu} \Delta_{\rho\sigma} \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho(\lambda^2) K_0(\lambda|x|) , \end{aligned} \quad (4.5)$$

where in two dimensions the dimension of  $\rho(\lambda^2)$  is  $-2$ .

---

<sup>7</sup>In  $n$  dimensions, the position-space Feynman propagator is

$$\begin{aligned} \Delta_F(x; m^2) &= \int \frac{d^n k}{(2\pi)^n} e^{-ik \cdot x} \frac{1}{k^2 + m^2 - i\epsilon} \\ &= \theta(-x^2) \frac{(-i)^{n+1}}{(2\pi)^{n/2}} \frac{\pi}{2} \frac{m^{\frac{n}{2}-1}}{(\sqrt{-x^2 - i\epsilon})^{\frac{n}{2}-1}} H_{\frac{n}{2}-1}^{(2)}(m\sqrt{-x^2 - i\epsilon}) \\ &\quad + \theta(x^2) \frac{1}{(2\pi)^{n/2}} \frac{m^{\frac{n}{2}-1}}{(\sqrt{x^2 + i\epsilon})^{\frac{n}{2}-1}} K_{\frac{n}{2}-1}(m\sqrt{x^2 + i\epsilon}) . \end{aligned}$$

Carrying out the differentiations we have

$$\begin{aligned}
i\langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle &= \frac{1}{2\pi} \int_0^\infty d\lambda^2 \lambda^4 \rho(\lambda^2) \left[ A(\lambda|x|) \eta_{\mu\nu} \eta_{\rho\sigma} + \dots + E(\lambda|x|) \frac{1}{x^4} x_\mu x_\nu x_\rho x_\sigma \right] \\
i\langle T_{\mu\nu}(x) T^\rho{}_\rho(0) \rangle &= -\frac{1}{2\pi} \int_0^\infty d\lambda^2 \lambda^4 \rho(\lambda^2) \left[ P(\lambda|x|) \eta_{\mu\nu} + Q(\lambda|x|) \frac{1}{x^2} x_\mu x_\nu \right] \\
i\langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle &= \frac{1}{2\pi} \int_0^\infty d\lambda^2 \lambda^4 \rho(\lambda^2) R(\lambda|x|) ,
\end{aligned} \tag{4.6}$$

where, using the standard Bessel function recursion relations,

$$\begin{aligned}
z \frac{d}{dz} K_\nu(z) - \nu K_\nu(z) &= -z K_{\nu+1}(z) \\
z K_{\nu-1}(z) + 2\nu K_\nu(z) &= z K_{\nu+1}(z) ,
\end{aligned} \tag{4.7}$$

the important coefficient functions are seen to be remarkably simple:

$$\begin{aligned}
E(\lambda|x|) &= K_4(\lambda|x|) \\
Q(\lambda|x|) &= K_2(\lambda|x|) \\
R(\lambda|x|) &= K_0(\lambda|x|) .
\end{aligned} \tag{4.8}$$

The next step is to identify the dimensionless Zamolodchikov  $F$ ,  $H$  and  $G$  functions introduced in section 1.2. It can be shown that up to normalisations these are simply

$$\begin{aligned}
F &= \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho(\lambda^2) (\lambda|x|)^4 E(\lambda|x|) \\
H &= \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho(\lambda^2) (\lambda|x|)^4 Q(\lambda|x|) \\
G &= \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho(\lambda^2) (\lambda|x|)^4 R(\lambda|x|) .
\end{aligned} \tag{4.9}$$

To derive the  $c$ -theorem, we now look for a combination  $C = F + \alpha H + \beta G$  with the property  $|x| \frac{\partial C}{\partial |x|} \sim G$ . In fact, we can show using the relations (4.7) for the Bessel functions that

$$C = F + 2H - 3G \tag{4.10}$$

satisfies

$$|x| \frac{\partial C}{\partial |x|} = -12G . \tag{4.11}$$

Dimensional analysis and the non-renormalisation of the energy-momentum tensor Green functions then implies

$$|x| \frac{\partial C}{\partial |x|} = \mu \frac{\partial C}{\partial \mu} = -\beta^I \frac{\partial C}{\partial g^I} . \tag{4.12}$$

A similar spectral representation can be written for the two-point Green function for the operators  $O_I$ :

$$\begin{aligned} i\langle O_I(x) O_I(0) \rangle &= \partial^4 \Omega_{IJ}(t) \\ &= \partial^4 \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho_{IJ}(\lambda^2) K_0(\lambda|x|) , \end{aligned} \quad (4.13)$$

and we define

$$G_{IJ} = \frac{1}{2\pi} x^4 \int_0^\infty d\lambda^2 \rho_{IJ}(\lambda^2) (\lambda|x|)^4 K_0(\lambda|x|) . \quad (4.14)$$

Then from the Ward identity (3.25), noting that the delta function contributions vanish for the Green functions rescaled by  $x^4$ , we have

$$G = G_{IJ} \beta^I \beta^J . \quad (4.15)$$

To summarise, we have proved the  $c$ -theorem:

$$\beta^I \partial_I C = 12 G_{IJ} \beta^I \beta^J , \quad (4.16)$$

where

$$\begin{aligned} C &= \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho(\lambda^2) (\lambda|x|)^4 [K_4(\lambda|x|) + 2K_2(\lambda|x|) - 3K_0(\lambda|x|)] \\ G_{IJ} &= \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho_{IJ}(\lambda^2) (\lambda|x|)^4 K_0(\lambda|x|) . \end{aligned} \quad (4.17)$$

The function  $G_{IJ}$  is positive and is interpreted as a metric on the space of couplings. Also note that, because of the hierarchy  $K_{\nu+4} > K_{\nu+2} > K_\nu$  of the (positive definite) Bessel functions  $K_\nu(\lambda|x|)$ , the  $C$ -function itself is also positive.

This allows a very clear physical interpretation of the  $c$ -theorem [12, 13]. First, write

$$C = \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho(\lambda^2) f(\lambda|x|) , \quad (4.18)$$

and

$$G_{IJ} = \frac{1}{2\pi} \int_0^\infty d\lambda^2 \rho_{IJ}(\lambda^2) h(\lambda|x|) , \quad (4.19)$$

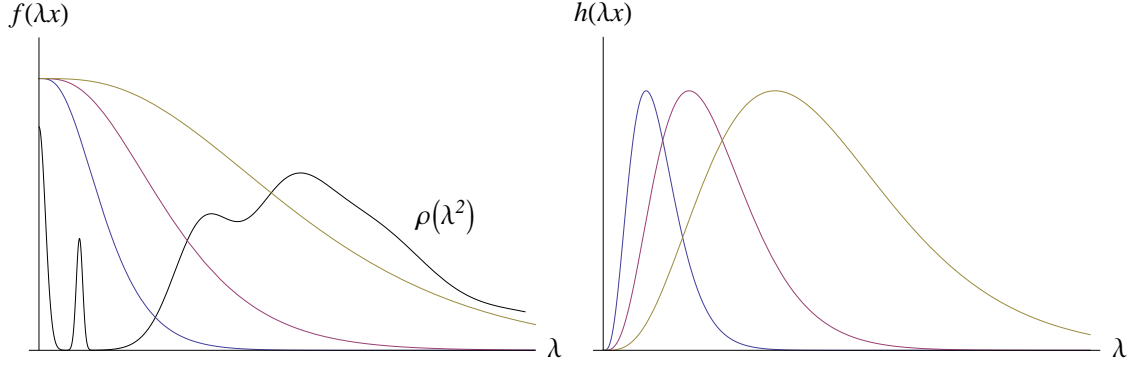
where the ‘sampling functions’  $f(\lambda|x|)$  and  $h(\lambda|x|)$  are given by

$$f(\lambda|x|) = (\lambda|x|)^4 (K_4(\lambda|x|) + 2K_2(\lambda|x|) - 3K_0(\lambda|x|)) , \quad (4.20)$$

and

$$h(\lambda|x|) = (\lambda|x|)^4 K_0(\lambda|x|) . \quad (4.21)$$

These are plotted in figure 1. Notice especially how the profile of  $f(\lambda|x|)$ , which has the shape of a smoothed step-function, moves to smaller values of  $\lambda$  as  $|x|$  is increased.<sup>8</sup>



**Figure 1.** The LH figure shows the sampling function  $f(\lambda|x|)$  defining the Zamolodchikov  $C$  function for three values of the scale  $|x|$ , superimposed on a sketch of the spectral function  $\rho(\lambda^2)$ . As  $|x|$  is increased, the sampling function moves towards smaller  $\lambda$  values, progressively sampling the IR sector of the spectrum. The RH plot shows the corresponding manifestly positive sampling function  $h(\lambda|x|)$  defining the metric function  $G_{IJ}$ .

Now, the spectral function  $\rho(\lambda^2)$  measures the density of states at the mass scale  $\lambda$ , with a typical sketched form superimposed on the sampling function  $F(\lambda|x|)$  in figure 1. So, as  $|x|$  is increased, the sampling function  $f(\lambda|x|)$  measures less and less of the high-energy, UV part of the spectrum. We therefore understand why the  $C$  function decreases as we flow into the IR, *i.e.*

$$|x| \frac{\partial C}{\partial |x|} < 0 \quad (4.22)$$

We conclude therefore that with the definition (4.18),  $C(g(|x|))$  is a measure of the degrees of freedom in the spectrum at scales  $\gtrsim |x|$ . Evidently, this is a monotonically decreasing function as we flow into the infra-red.

This construction, with its natural physical interpretation, looks as if it should generalise straightforwardly to four dimensions. We shall see in section 5 to what extent this is borne out. First though, we give an alternative, closely related, derivation which relates  $C(g)$  to the RG functions associated with the Weyl anomaly.

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<sup>8</sup>Note the normalisation of these sampling functions:

$$\int_0^\infty d\lambda^2 f(\lambda|x|) = 2^8 3 x^{-2} , \quad \int_0^\infty d\lambda^2 h(\lambda|x|) = 2^7 x^{-2} .$$



## 4.2 c-theorem and renormalisation group flow

Once again, we start from the general form (4.1) for the two-point function of the energy-momentum tensor, which implies

$$\begin{aligned} i\langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle &= \partial^4 \Omega(x) \\ &= \int \frac{d^2 k}{(2\pi)^2} e^{ik \cdot x} k^2 \tilde{\Omega}_{TT}(t) , \end{aligned} \quad (4.23)$$

where here  $t = \frac{1}{2} \log(k^2/\mu^2)$ . Performing the Fourier transform introduces Bessel functions  $J_\nu(k|x|)$  as usual (to simplify notation, we just write  $k = |k|$  in this section), so that

$$\Omega(x) = \frac{1}{2\pi} \int_0^\infty \frac{dk}{k} J_0(k|x|) \tilde{\Omega}_{TT}(t) . \quad (4.24)$$

The Bessel functions satisfy similar recursion relations to those of the previous section, *viz.*

$$\begin{aligned} z \frac{d}{dz} J_\nu(z) - \nu J_\nu(z) &= -z J_{\nu+1}(z) \\ z J_{\nu-1}(z) - 2\nu J_\nu(z) &= -z J_{\nu+1}(z) . \end{aligned} \quad (4.25)$$

Following analogous steps leading to the identification of the Zamolodchikov functions  $F$ ,  $H$ ,  $G$ , and then  $C$ , we find [12]

$$C = \frac{1}{2\pi} \int_0^\infty \frac{dk}{k} \tilde{\Omega}_{TT}(t) [(k|x|)^4 (J_4(k|x|) - 2J_2(k|x|) - 3J_0(k|x|))] , \quad (4.26)$$

and similarly,

$$G_{IJ} = \frac{1}{2\pi} \int_0^\infty \frac{dk}{k} \tilde{\Omega}_{IJ}(t) (k|x|)^4 J_0(k|x|) . \quad (4.27)$$

We now introduce the Ward identities and renormalisation group equations from section 3. From the fundamental Ward identity (3.25)

$$i\langle T^\mu{}_\mu(x) T^\mu{}_\mu(0) \rangle - i\langle \Theta(x) \Theta(0) \rangle + \dots = \beta_c \partial^2 \delta(x) , \quad (4.28)$$

where we have omitted the terms involving the VEVs and  $\delta(x, y)$  with no derivatives, we deduce the key identity

$$\tilde{\Omega}_{TT} - \beta^I \beta^J \tilde{\Omega}_{IJ} = \tilde{\beta}_c . \quad (4.29)$$

The RGEs (3.10) and (3.14) imply

$$\begin{aligned} \mathcal{D} \tilde{\Omega}_{TT} &= 0 \\ \mathcal{D} \tilde{\Omega}_{IJ} + \partial_I \beta^K \tilde{\Omega}_{KJ} + \partial_J \beta^K \tilde{\Omega}_{IK} &= -\chi_{IJ} , \end{aligned} \quad (4.30)$$

that is

$$\begin{aligned}\frac{\partial}{\partial t} \tilde{\Omega}_{TT} &= \mathcal{L}_\beta \tilde{\Omega}_{TT} \equiv \beta^I \partial_I \tilde{\Omega}_{TT} \\ \frac{\partial}{\partial t} \tilde{\Omega}_{IJ} &= \chi_{IJ} + \mathcal{L}_\beta \tilde{\Omega}_{IJ}\end{aligned}\tag{4.31}$$

These can be solved as usual to express  $\tilde{\Omega}_{TT}(t)$  and  $\tilde{\Omega}_{IJ}(t)$  in terms of the running couplings  $g^I(t)$  and anomalous dimensions  $\gamma_I^J(t) = \partial_I \beta^J$ :

$$\begin{aligned}\tilde{\Omega}_{TT}(t) &= F_{TT}(g(t)) \\ \tilde{\Omega}_{IJ}(t) &= e^{\int_0^t dt' \gamma(g(t'))} \left[ F(g(t)) + \int_0^t dt' \chi(g(t')) e^{-\int_0^{t'} dt'' \gamma(g(t''))} \right],\end{aligned}\tag{4.32}$$

suppressing indices. Expanding to first order around an arbitrary point on the RG trajectory, we find (as is also obvious from (4.31) directly)

$$\begin{aligned}\tilde{\Omega}_{TT}(t) &= F_{TT}(g) + t \beta^I \partial_I F_{TT}(g) + O(t^2) \\ \tilde{\Omega}_{IJ}(t) &= F_{IJ}(g) + t (\chi_{IJ}(g) + \mathcal{L}_\beta F_{IJ}(g)) + O(t^2),\end{aligned}\tag{4.33}$$

where  $g$  is the running coupling at  $t = 0$ , *i.e.* at  $k^2 = \mu^2$ .

The anomalous Ward identity (4.29) then gives [12], equating terms of  $O(1)$  and  $O(t)$ ,

$$\begin{aligned}F_{TT} - \beta^I \beta^J F_{IJ} &= \tilde{\beta}_c \\ \beta^I \partial_I F_{TT} - \beta^I \beta^J \mathcal{L}_\beta F_{IJ} &= \chi_{IJ} \beta^I \beta^J,\end{aligned}\tag{4.34}$$

where all functions are evaluated at  $g(0)$ . The second of these can be rewritten as [12],

$$\beta^K \partial_K (F_{TT} - \beta^I \beta^J F_{IJ}) = \chi_{IJ} \beta^I \beta^J,\tag{4.35}$$

that is

$$\beta^I \partial_I \tilde{\beta}_c = \chi_{IJ} \beta^I \beta^J.\tag{4.36}$$

This is of course the familiar Weyl consistency condition.

To see exactly how this is related to the full  $c$ -theorem, note that in the same way as in the spectral function derivation, the properties of the Bessel functions allows us once again to prove that with the definitions (4.25) and (4.27) we have

$$\beta^I \partial_I C = -|x| \frac{\partial C}{\partial |x|} = 12 G_{IJ} \beta^I \beta^J.\tag{4.37}$$

Now, inserting the small  $t$  expansions (4.34), (4.35) into the formulae for  $C$  and  $G_{IJ}$  and integrating, we find after a short calculation that

$$\begin{aligned} C &= 96\pi \left[ F_{TT} + \beta^I \partial_I F_{TT} \left( -\frac{1}{2} \log \mu^2 x^2 + \text{const} \right) + \dots \right] \\ G_{IJ} &= 8\pi \left[ \chi_{IJ} + \mathcal{L}_\beta F_{IJ} + \dots \right] \end{aligned} \quad (4.38)$$

Using (4.34), we can write this as

$$C = 96\pi \left[ \tilde{\beta}_c + \beta^I \beta^J F_{IJ} + \dots \right] . \quad (4.39)$$

This shows that at a fixed point of the renormalisation group, where the  $\beta^I = 0$ , the  $C$  function is simply given by  $\beta_c$ , *i.e.*

$$C(g^*) = 96\pi \beta_c(g^*) , \quad (4.40)$$

while the RG flow of  $C(g)$  itself is given by the  $c$ -theorem identity (4.37). Moreover, this construction also shows the role of the Weyl consistency condition, which by (4.34) describes the flow in a small neighbourhood of an arbitrary point on the RG trajectory. Precisely, (4.38) reads at lowest order in this expansion [12],

$$\beta^K \partial_K \left( \tilde{\beta}_c + \beta^I \beta^J F_{IJ} \right) = \beta^I \beta^J (\chi_{IJ} + \mathcal{L}_\beta F_{IJ}) , \quad (4.41)$$

which is just the consistency condition with the intrinsic renormalisation scheme arbitrariness (2.15). In this sense, the Weyl consistency condition appears as a local approximation to the full  $c$ -theorem at an arbitrary point on the RG trajectory away from the fixed point..

### 4.3 $c$ -theorem in position space

The derivation of the  $c$ -theorem above used a momentum space representation for the Green functions. Although giving less insight into the physical meaning of the  $c$ -theorem and its relation to the renormalisation group and Weyl consistency conditions, we can also give a similar construction directly in position space [9].

The key ingredients are, as before, the anomalous Ward identity (4.28) and the RGEs (3.10) and (3.14) for the Green functions

$$\begin{aligned} i \langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle &= \partial^4 \Omega(t) \\ i \langle O_I(x) O_J(0) \rangle &= \partial^4 \Omega_{IJ}(t) , \end{aligned} \quad (4.42)$$

where  $t = \frac{1}{2} \log \mu^2 x^2$ . Since in two dimensions,  $\partial^2 t = 2\pi \delta(x)$ , we can rewrite these as

$$\begin{aligned}\Omega - \beta^I \beta^J \Omega_{IJ} &= -2\pi \tilde{\beta}_c t \\ \mathcal{D}\Omega &= 0 \\ \mathcal{D}\Omega_{IJ} + \partial_I \beta^K \Omega_{KJ} + \partial_J \beta^K \Omega_{IK} &= 2\pi \chi_{IJ} t .\end{aligned}\tag{4.43}$$

With the usual definition of the  $C$  function, some unilluminating algebra using (4.43), with  $\Omega' = d\Omega/dt$ , now shows that

$$\begin{aligned}C &= 96\pi \left( -\frac{1}{2}\Omega' + \frac{1}{2}\Omega'' - \frac{1}{8}\Omega''' \right) \\ &= 96\pi \left( \tilde{\beta}_c + a_{IJ} \beta^I \beta^J \right) ,\end{aligned}\tag{4.44}$$

with  $a_{IJ} = -\frac{1}{2}\Omega'_{IJ} + \frac{1}{2}\Omega''_{IJ} - \frac{1}{8}\Omega'''_{IJ}$ . The metric  $G_{IJ}$  is similarly found to be

$$\begin{aligned}G_{IJ} &= 8\pi \left( \frac{1}{2}\Omega''_{IJ} - \frac{1}{2}\Omega'''_{IJ} + \frac{1}{8}\Omega''''_{IJ} \right) \\ &= 8\pi \left( \chi_{IJ} + \mathcal{L}_\beta a_{IJ} \right) ,\end{aligned}\tag{4.45}$$

in clear correspondence with (4.38). The  $c$ -theorem follows:

$$\beta^I \partial_I C = 12 G_{IJ} \beta^I \beta^J .\tag{4.46}$$

Just as in the previous derivation, we see that away from a fixed point the  $c$ -theorem differs from the Weyl consistency condition itself, but with the RG functions  $\tilde{\beta}_c$  and  $\chi$  modified by terms of the form corresponding to the addition of a finite local counterterm in the action.

#### 4.4 $c$ -theorem and dispersion relations

We now present a further derivation of the  $c$ -theorem using a dispersion relation for the two-point Green function of  $T^\mu{}_\mu$ , which will be of particular interest when we discuss the generalisation to four dimensions. Note that this only proves the ‘weak’  $c$ -theorem,

$$\beta_c^{UV} - \beta_c^{IR} > 0 ,\tag{4.47}$$

which shows that for an RG flow from a UV to an IR fixed point,  $\beta_c$  is less in the IR. Unlike the previous derivations, however, this does not identify a monotonically decreasing  $C$  function along the flow.

The central idea is to write a dispersion relation for  $\Pi(\omega)$ , defined as

$$i \int d^2x e^{ik \cdot x} \langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle = k^2 \Pi(\omega) ,\tag{4.48}$$

where, to emphasise the analogy with the Kramers-Krönig dispersion relation familiar from QED, we have written the momentum as  $k_\mu = \omega \hat{k}_\mu$ , where  $\hat{k}_\mu$  is a unit vector. A similar definition can be given for  $\Pi_{IJ}(\omega)$  in terms of  $\langle O_I O_J \rangle$ .

It is important to note that nothing in the following derivation requires there to be a dynamical ‘dilaton’ field  $\tau(x)$  coupling to  $T^\mu{}_\mu$  (or indeed a ‘graviton’ coupling to  $T_{\mu\nu}$  if we considered more general Green functions of the energy-momentum tensor) in analogy with the photon  $A_\mu(x)$  coupling to the current  $J_\mu$  in the QED relation.<sup>9</sup> We also present the derivation in full detail making explicit where all the necessary assumptions on  $\Pi(\omega)$  are used, so that we may make a critical assessment of the later generalisation to four dimensions.

We now make some (standard) assumptions about  $\Pi(\omega)$ :

1.  $\Pi(\omega)$  is analytic in the upper-half complex  $\omega$ -plane, but may have cuts along the real  $\omega$  axis. This is a requirement of causality.
2.  $\Pi(\omega)$  is bounded at infinity.
3.  $\Pi(\omega) = \Pi(-\omega)$ . This is a consequence of translation invariance since the two-point function  $\langle T^\mu{}_\mu(x) T^\mu{}_\mu(y) \rangle$  depends only on the magnitude of the separation  $|x - y|$ .
4.  $\Pi(\omega^*) = \Pi(\omega)^*$ , *i.e.* hermitian analyticity. This is a basic axiom of  $S$ -matrix theory for scattering amplitudes (section 1.3 of [29]), but see [30] for a critique in curved spacetime.

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<sup>9</sup>In the QED case, we consider the vacuum polarisation tensor, *i.e.* the two-point function of the conserved electromagnetic current  $J_\mu$ ,

$$\Pi_{\mu\nu} = (k^2 \eta_{\mu\nu} - k_\mu k_\nu) \Pi(\omega) = \langle J_\mu(x) J_\nu(0) \rangle|_{\text{F.T.}} .$$

The refractive index  $n(\omega)$  for photons with frequency  $\omega$  is identified as

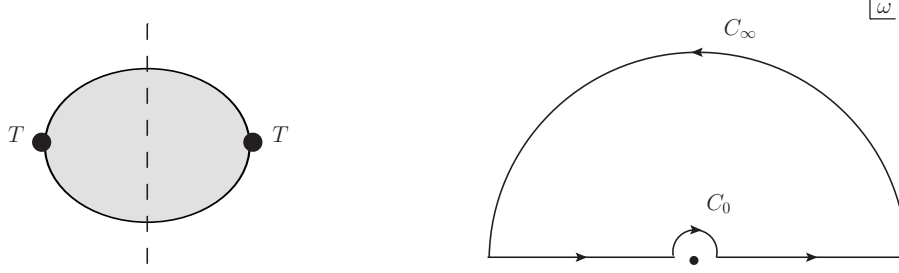
$$n(\omega) - 1 = \Pi(\omega) ,$$

and satisfies the Kramers-Kronig dispersion relation

$$n(\infty) - n(0) = -\frac{2}{\pi} \int_0^\infty \frac{d\omega}{\omega} \text{Im } n(\omega) < 0 ,$$

which relates the refractive index in the UV and IR limits. The analogy with (4.48) is even closer if we think of the trace operator  $T^\mu{}_\mu \sim \partial^\mu D_\mu$ , where  $D_\mu$  is the dilatation current.

5.  $\text{Im } \Pi(\omega) > 0$ , which is a consequence of unitarity and the key element of the optical theorem (but again see [31] for subtleties, especially in curved spacetime).



**Figure 2.** The LH figure shows the two-point function  $\langle T^\mu{}_\mu T^\rho{}_\rho \rangle$  with the cut giving rise to  $\text{Im } \Pi(\omega)$  in (4.56). The RH figure shows the contour in the complex  $\omega$ -plane used in the dispersion relation.

Now consider the integral of  $\Pi(\omega)/\omega$  around the contour shown in figure 2. This gives

$$\int_{C_\infty} \frac{d\omega}{\omega} \Pi(\omega) + \int_{C_0} \frac{d\omega}{\omega} \Pi(\omega) + \mathcal{P} \int_{-\infty}^{\infty} \frac{d\omega}{\omega} \Pi(\omega) = 0 , \quad (4.49)$$

which implies

$$\Pi(\infty) - \Pi(0) = -\frac{1}{i\pi} \mathcal{P} \int_{-\infty}^{\infty} \frac{d\omega}{\omega} \Pi(\omega) , \quad (4.50)$$

where the principal part integral is

$$\begin{aligned} \mathcal{P} \int_{-\infty}^{\infty} \frac{d\omega}{\omega} \Pi(\omega) &= \int_{-\infty}^0 \frac{d\omega}{\omega} \Pi(\omega + i\epsilon) + \int_0^{\infty} \frac{d\omega}{\omega} \Pi(\omega + i\epsilon) \\ &= \int_0^{\infty} \frac{d\omega}{\omega} [\Pi(\omega + i\epsilon) - \Pi(-\omega + i\epsilon)] . \end{aligned} \quad (4.51)$$

If we now use assumption (3), we have

$$[ \dots ] = \Pi(\omega + i\epsilon) - \Pi(\omega - i\epsilon) = \text{disc } \Pi(\omega) , \quad (4.52)$$

with the discontinuity across the cut on the positive real axis. Then using assumption (4), gives

$$[ \dots ] = \Pi(\omega + i\epsilon) - \Pi(\omega + i\epsilon)^* = 2i \text{Im } \Pi(\omega) , \quad (4.53)$$

and we find the required dispersion relation,

$$\Pi(\infty) - \Pi(0) = -\frac{2}{\pi} \int_0^{\infty} \frac{d\omega}{\omega} \text{Im } \Pi(\omega) < 0 . \quad (4.54)$$

where the final inequality is a consequence of assumption (5).

Finally, from the anomalous Ward identity (2.43) or (3.25), we have

$$k^2 \Pi(\omega) = k^2 \left( \beta^I \beta^J \Pi_{IJ}(\omega) - \tilde{\beta}_c \right) + 2\beta^I \langle O_I \rangle - \beta^I \partial_I \beta^J \langle O_J \rangle . \quad (4.55)$$

Evaluating at the UV and IR fixed points at the ends of a RG trajectory (where  $\beta^I = 0$ ), we find our final result

$$\beta_c^{UV} - \beta_c^{IR} = \frac{2}{\pi} \int_0^\infty \frac{d\omega}{\omega} \text{Im } \Pi(\omega) > 0 . \quad (4.56)$$

This is the weak  $c$ -theorem.

## 5 Local RGE and Weyl Consistency Conditions in Four Dimensions

We now turn to four dimensions and consider the generalisation of the anomalous Ward identities and renormalisation group equations which underpinned the derivation of the  $c$ -theorem in two dimensions. We begin with the renormalisation of the energy-momentum tensor and the Weyl consistency conditions.

### 5.1 Trace anomaly and Weyl consistency conditions

In four dimensions, exactly the same principles used in the renormalisation of the two-dimensional theory in section 2.1 apply but now there are many more possible counterterms. The action (2.1) generalises to<sup>10</sup>

$$S = S_0 + \int d^n x \sqrt{-g} \left( g_B^I O_I + c_B \mathcal{F} + a_B \mathcal{G} + b_B \mathcal{H} + \Lambda_B \right) \quad (5.1)$$

where  $\mathcal{F}$ ,  $\mathcal{G}$  and  $\mathcal{H}$  are the curvature terms, which in dimensional regularisation are taken to be

$$\begin{aligned} \mathcal{F} &= R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - \frac{4}{n-2} R^{\mu\nu} R_{\mu\nu} + \frac{2}{(n-1)(n-2)} R^2 \\ \mathcal{G} &= \frac{2}{(n-2)(n-3)} \left( R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - 4R^{\mu\nu} R_{\mu\nu} + R^2 \right) \\ \mathcal{H} &= \frac{1}{(n-1)^2} R^2 . \end{aligned} \quad (5.2)$$

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<sup>10</sup>For simplicity, we only display dimension 4 operators  $O_I$  here, although a full treatment should also include the dimension 2 operators  $O_a$  and global symmetry currents  $J_\mu^A$  as discussed in sections 1.3 and 7. For full details, see [8, 9, 26, 27].

In four dimensions,  $\mathcal{F} = F_4 = C^{\mu\nu\rho\sigma} C_{\mu\nu\rho\sigma}$  is the square of the Weyl tensor and  $\mathcal{G} = E_4$  is the Euler density.

The counterterms depending on derivatives of the local couplings have dimension 4 and so may now also contain curvature-dependent terms. A complete independent set is

$$\begin{aligned} \Lambda_B = & \mu^{-\epsilon} (\Lambda_R + \tfrac{1}{3} e_I \partial_\mu R \partial^\mu g^I + \tfrac{1}{6} f_{IJ} R \partial_\mu g^I \partial^\mu g^J + \tfrac{1}{2} g_{IJ} G^{\mu\nu} \partial_\mu g^I \partial_\nu g^J \\ & + \tfrac{1}{2} a_{IJ} D^2 g^I D^2 g^J + \tfrac{1}{2} b_{IJK} \partial_\mu g^I \partial^\mu g^J D^2 g^K + \tfrac{1}{2} c_{IJKL} \partial_\mu g^I \partial^\mu g^J \partial_\nu g^K \partial^\nu g^L) . \end{aligned} \quad (5.3)$$

It follows that these contribute to contact term divergences in Green functions up to the four-point  $\langle O_I O_J O_K O_L \rangle$ . We define RG functions corresponding to these counterterms as described in section 2.1.

The next step is to repeat the calculation of the trace anomaly  $T^\mu{}_\mu$  following the method of section 2.2. First, we need the variations of the curvature terms:

$$\begin{aligned} \frac{1}{\sqrt{-g}} g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} \mathcal{F}(y) &= 2\mathcal{F}\delta(x, y) \\ \frac{1}{\sqrt{-g}} g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} \mathcal{G}(y) &= 2\mathcal{G}\delta(x, y) - 4G^{\mu\nu} D_\mu D_\nu \delta(x, y) \\ \frac{1}{\sqrt{-g}} g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} \mathcal{H}(y) &= 2\mathcal{H}\delta(x, y) + 2(n-1)R D^2 \delta(x, y) , \end{aligned} \quad (5.4)$$

and

$$\begin{aligned} \frac{1}{\sqrt{-g}} g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} G_{\rho\sigma}(y) &= -\left(\frac{n}{2} - 1\right) (D^2 g_{\rho\sigma} - D_\rho D_\sigma) \delta(x, y) \\ \frac{1}{\sqrt{-g}} g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} D^2 f(y) &= D^2 f \delta(x, y) - \left(\frac{n}{2} - 1\right) D^\mu f D_\mu \delta(x, y) . \end{aligned} \quad (5.5)$$

for some scalar function  $f(y)$ . Eventually we find the analogue of (2.25) for the trace anomaly:

$$T^\mu{}_\mu = \beta^I O_I + \beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \beta_\Lambda + D_\mu Z^\mu , \quad (5.6)$$

with

$$\beta_\Lambda = \tfrac{1}{3} \chi_I^e \partial^\mu R \partial_\mu g^I + \tfrac{1}{6} \chi_{IJ}^f R \partial_\mu g^I \partial^\mu g^J + \tfrac{1}{2} \chi_{IJ}^g G_{\mu\nu} \partial^\mu g^I \partial^\nu g^J + \tfrac{1}{2} \chi_{IJ}^a D^2 g^I D^2 g^J + \dots , \quad (5.7)$$

and

$$Z_\mu = w_I G_{\mu\nu} \partial^\nu g^I + \tfrac{1}{3} \partial_\mu (dR) + \partial_\mu (U_I D^2 g^I) + \dots \quad (5.8)$$



We have only quoted here the terms which play a role in our discussion of potential generalisations of the  $c$ -theorem. For the full set, including a discussion of which of the RG functions may be set to zero using the freedom to add finite local counterterms to the action, see [8, 9].

As before, we define operators  $\Delta_\sigma^W$  and  $\Delta_\sigma^\beta$  corresponding to Weyl and RG variations. Consistent with the trace anomaly (5.6), these satisfy

$$(\Delta_\sigma^W - \Delta_\sigma^\beta) W = \int d^4x \sqrt{-g} [\sigma(x) (\beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \beta_\Lambda) - \partial_\mu \sigma(x) Z^\mu] , \quad (5.9)$$

generalising (3.35) to four dimensions. Differentiating wrt sources  $g^{\mu\nu}(x)$  and  $g^I(x)$  then gives anomalous Ward identities for Green functions involving  $T^\mu{}_\mu$ .

First, we derive the Weyl consistency conditions from the fact that the commutator of  $\Delta_\sigma^W - \Delta_\sigma^\beta$  vanishes as in (3.41) due to the abelian property of the Weyl transformations. This gives

$$\begin{aligned} 8\partial_I \beta_a - \chi_{IJ}^g \beta^J &= -\mathcal{L}_\beta w_I \\ 8\beta_b - \chi_{IJ}^a \beta^I \beta^J &= \mathcal{L}_\beta (2d + \beta^I w_I) \equiv \mathcal{L}_\beta (2\hat{d}) \\ 2\chi_I^e + \chi_{IJ}^a \beta^J &= -\mathcal{L}_\beta U_I \\ \chi_{IJ}^g + 2\chi_{IJ}^a + 2\partial_I \beta^K \chi_{KJ}^a + \beta^K \chi_{KIJ}^b &= \mathcal{L}_\beta S_{IJ} \\ \dots \end{aligned} \quad (5.10)$$

where we have only quoted those relevant to the two-point Green functions discussed below. Again, the complete set may be found in [8, 9].

Clearly the first condition involving the coefficient  $\beta_a$  of the Euler density in the trace anomaly is a precise analogue of the two-dimensional condition (3.43) which is implicitly related to the  $c$ -theorem. We will investigate in the next section whether a similar relation also applies in four dimensions, where it would constitute an ‘ $a$ -theorem’.

We can also look at the possibility of theorems related to the RG flow of the coefficients  $\beta_c$  and  $\beta_b$  of the Weyl and Ricci curvatures in the trace anomaly. At first sight, this does not look promising. The second condition in (5.10) implies  $\beta_b = O(\beta^I)$  so this function vanishes at a fixed point so clearly cannot have a monotonic behaviour. Notice also that the Weyl consistency conditions do not involve  $\beta_c$ . Technically, this is because the Weyl variation of  $\mathcal{F}$  vanishes when evaluated in flat space, *i.e.* there are no derivative terms in the variation (5.4). This implies that studying two-point

Green functions involving the trace  $T^\mu{}_\mu$  will not give information on the RG flow of  $\beta_a$ . However, as we shall see, we can still find information on the RG flow of  $\beta_c$  from two-point functions involving  $T_{\mu\nu}$  itself rather than its trace.

## 5.2 RGE and anomalous Ward identities for Green functions

We now consider two-point Green functions involving the energy-momentum tensor and discuss the corresponding Ward identities for anomalous Weyl symmetry and the renormalisation group equations. Diffeomorphism invariance, that is the conservation equation  $\partial^\mu T_{\mu\nu} = 0$ , constrains the form of the two-point function:

$$\langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle = \Pi_{\mu\nu\rho\sigma}^{(0)} \Omega^{(0)}(x) + \Pi_{\mu\nu\rho\sigma}^{(2)} \Omega^{(2)}(x) , \quad (5.11)$$

where  $\Pi_{\mu\nu\rho\sigma}^{(0)}$  and  $\Pi_{\mu\nu\rho\sigma}^{(2)}$  are projection operators onto the spin 0 and spin 2 intermediate states [12, 13, 15]. In  $n$  dimensions,

$$\begin{aligned} \Pi_{\mu\nu\rho\sigma}^{(0)} &= \frac{1}{n-1} \Delta_{\mu\nu} \Delta_{\rho\sigma} \\ \Pi_{\mu\nu\rho\sigma}^{(2)} &= \frac{1}{2(n-1)} [-2\Delta_{\mu\nu} \Delta_{\rho\sigma} + (n-1) (\Delta_{\mu\rho} \Delta_{\nu\sigma} + \Delta_{\mu\sigma} \Delta_{\nu\rho})] . \end{aligned} \quad (5.12)$$

Note that in four dimensions,  $\Pi_{\mu\nu\rho\sigma}^{(2)}$  is transverse and traceless, while it vanishes identically in two dimensions.

Contracting indices, in four dimensions we have

$$\langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle = 3 \partial^4 \Omega^{(0)}(x) , \quad (5.13)$$

which involves only the spin 0 factor. To identify the spin 2 contribution, we need to take a different contraction [13], which will therefore not be determined by the anomalous Ward identities. In fact, we can show

$$\langle T^{\mu\nu}(x) T_{\mu\nu}(0) \rangle - \frac{1}{3} \langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle = 5 \partial^4 \Omega^{(2)}(x) . \quad (5.14)$$

Now consider the renormalisation group equations. In four dimensions, the fundamental RGE generalising (3.3) is

$$\mathcal{D}W = \int d^4x \sqrt{-g} (\beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \beta_\Lambda) . \quad (5.15)$$

To derive the RGE for  $\langle T_{\mu\nu} T_{\rho\sigma} \rangle$ , we need the following crucial identities for the metric variations of the curvature terms [12, 13]. Taking the flat-space limit, in four dimensions, we find

$$\begin{aligned} \frac{\delta}{\delta g^{\mu\nu}(x)} \frac{\delta}{\delta g^{\rho\sigma}(y)} \int d^4x \sqrt{-g} \mathcal{F} &= \Pi_{\mu\nu\rho\sigma}^{(2)} \delta(x, y) \\ \frac{\delta}{\delta g^{\mu\nu}(x)} \frac{\delta}{\delta g^{\rho\sigma}(y)} \int d^4x \sqrt{-g} \mathcal{G} &= 0 \\ \frac{\delta}{\delta g^{\mu\nu}(x)} \frac{\delta}{\delta g^{\rho\sigma}(y)} \int d^4x \sqrt{-g} \mathcal{H} &= \frac{2}{3} \Pi_{\mu\nu\rho\sigma}^{(0)} \delta(x, y) . \end{aligned} \quad (5.16)$$

It follows immediately that

$$\mathcal{D} i \langle T_{\mu\nu}(x) T_{\rho\sigma}(y) \rangle = -\frac{8}{3} \beta_b \Pi_{\mu\nu\rho\sigma}^{(0)} \delta(x, y) - 4\beta_c \Pi_{\mu\nu\rho\sigma}^{(2)} \delta(x, y) , \quad (5.17)$$

and so,

$$\mathcal{D} \Omega^{(0)} = -\frac{8}{3} \beta_b \delta(x) , \quad \mathcal{D} \Omega^{(2)} = -4\beta_c \delta(x) . \quad (5.18)$$

For the remaining Green functions of interest, we find (compare with section 3.1),

$$\begin{aligned} \mathcal{D} i \langle T_{\mu\nu}(x) O_I(y) \rangle + \gamma_I^J i \langle T_{\mu\nu}(x) O_J(y) \rangle &= \frac{2}{3} \chi_I^e \Delta_{\mu\nu} \partial^2 \delta(x, y) \\ \mathcal{D} i \langle O_I(x) O_J(y) \rangle + \gamma_I^K i \langle O_K(x) O_J(y) \rangle + \gamma_J^K i \langle O_I(x) O_K(y) \rangle &+ \partial_I \partial_J \beta^K \langle O_K \rangle \delta(x, y) \\ &= -\chi_{IJ}^a \partial^4 \delta(x, y) , \end{aligned} \quad (5.19)$$

in flat spacetime, and with the anomalous dimension  $\gamma_I^J = \partial_I \beta^J$  as usual.

We also need the anomalous Ward identities, which may be derived by differentiating the Weyl variation equation (5.9) for  $(\Delta_\sigma^W - \Delta_\sigma^\beta) W$ . We find (recall  $\Theta = \beta^I O_I$ ),

$$\begin{aligned} i \langle T^\mu{}_\mu(x) T^\rho{}_\rho(y) \rangle - i \langle T^\mu{}_\mu(x) \Theta(y) \rangle + 2 \langle T^\mu{}_\mu(x) \rangle \delta(x, y) &= -2d \partial^4 \delta(x, y) \\ i \langle T^\mu{}_\mu(x) O_I(y) \rangle - i \langle \Theta(x) O_I(y) \rangle - \partial_I \beta^J \langle O_J(x) \rangle \delta(x, y) &= -U_I \partial^4 \delta(x, y) , \end{aligned} \quad (5.20)$$

which may be compared with the corresponding two-dimensional identities in section 3.1. Combining, we find the key identity,

$$\begin{aligned} i \langle T^\mu{}_\mu(x) T^\rho{}_\rho(y) \rangle - \beta^I \beta^J i \langle O_I(x) O_J(y) \rangle + 2 \langle T^\mu{}_\mu(x) \rangle \delta(x, y) - \beta^I \partial_I \beta^J \langle O_J(x) \rangle \delta(x, y) \\ = -2\tilde{d} \partial^4 \delta(x, y) , \end{aligned} \quad (5.21)$$

where  $\tilde{d} = d + \frac{1}{2} \beta^I U_I$ .

As a final consistency check, notice that acting on both sides of (5.21) with the RG operator  $\mathcal{D}$ , we find agreement by virtue of the Weyl consistency condition derived in (5.10), *viz.*

$$\mathcal{L}_\beta(2\tilde{d}) = 8\beta_b - \chi_{IJ}^a \beta^I \beta^J . \quad (5.22)$$

## 6 c, b and a-Theorems in Four Dimensions

We now generalise the various methods that led to the two-dimensional  $c$ -theorem to four dimensions. Here, because of the three curvature terms in the trace anomaly, we may look for identities for the renormalisation group flow of quantities related to the coefficients  $\beta_a$ ,  $\beta_c$  and  $\beta_b$  of the curvature terms  $\mathcal{G}$ ,  $\mathcal{F}$  and  $\mathcal{H}$  respectively, *i.e.* the Euler density and squares of the Weyl tensor and Ricci scalar.

However, as we have seen above, there are important differences between two and four dimensions. It is clear from the decomposition of the Green function  $\langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle$  into spin 0 and spin 2 parts that two-point functions in flat spacetime will only give information on  $\beta_b$  and  $\beta_c$ .

On the other hand, the Weyl consistency conditions give no constraint on the Weyl coefficient  $\beta_c$ , while the Ricci coefficient  $\beta_b$  is  $O(\beta^I)$  and so vanishes at a fixed point. There is, however, a potential  $a$ -theorem condition [7, 8],

$$\beta^I \partial_I \tilde{\beta}_a = \frac{1}{8} \chi_{IJ}^g \beta^I \beta^J, \quad (6.1)$$

with  $\tilde{\beta}_a = \beta_a + \frac{1}{8} \beta^I w_I$ , but in this case there is no direct positivity constraint from the two-point functions.

### 6.1 Spectral functions and the $\beta_c$ and $\beta_b$ ‘theorems’

The spin 0 and spin 2 projections of the energy-momentum tensor Green function are defined in (5.11). As in section 4.1, we can write a spectral representation,

$$\Omega^{(s)}(x) = \frac{1}{(2\pi)^2} \int_0^\infty d\lambda^2 \lambda^2 \rho^{(s)}(\lambda^2) (\lambda|x|)^{-1} K_1(\lambda|x|), \quad (6.2)$$

for  $s = 0, 2$  using the four-dimensional Feynman propagator (for spacelike  $x$ ). The spectral function  $\rho(\lambda^2)$  has dimension 0.

The Green functions  $x^8 \langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle$  and the contractions which isolate the functions  $\Omega^{(0)}$  and  $\Omega^{(2)}$  can be used as before to construct analogues of the Zamolodchikov  $F$ ,  $G$  and  $H$  functions. This construction [12, 13], which is a straightforward generalisation of that in section 4.1, is described in detail in appendix C.

We then look for a combination  $C = F + \alpha H + \beta G$  which satisfies  $|x| \frac{\partial C}{\partial |x|} \sim G$  for some constants  $\alpha$  and  $\beta$ . However, it turns out that this is only possible in two

dimensions. In fact, the best we can do in general is to define (in  $n$  dimensions)

$$C = F + \left(\frac{n}{2} + 1\right) H - \left(\frac{n}{2} + 2\right) G , \quad (6.3)$$

for which

$$|x| \frac{\partial C}{\partial |x|} = (n-2)C - \frac{1}{2}(n+2)(n+4)G . \quad (6.4)$$

This clearly shows the preferred rôle of  $n = 2$ .

In four dimensions, therefore, we define the two  $C^{(s)}$  functions for  $s = 0$  and  $s = 2$  (related to the  $\beta_b$  and  $\beta_c$  RG functions respectively) as

$$C^{(s)} = \frac{1}{(2\pi)^2} \int_0^\infty \frac{d\lambda^2}{\lambda^2} \rho^{(s)}(\lambda^2) [(\lambda|x|)^7 (K_5(\lambda|x|) + 3K_3(\lambda|x|) - 4K_1(\lambda|x|))] , \quad (6.5)$$

together with

$$G^{(s)} = \frac{1}{(2\pi)^2} \int_0^\infty \frac{d\lambda^2}{\lambda^2} \rho^{(s)}(\lambda^2) [(\lambda|x|)^7 K_1(\lambda|x|)] . \quad (6.6)$$

Similarly, defining the corresponding spectral function  $\rho_{IJ}(\lambda^2)$  from the Green function  $x^8 \langle O_I(x) O_J(0) \rangle$ , we define

$$G_{IJ} = \frac{1}{(2\pi)^2} \int_0^\infty \frac{d\lambda^2}{\lambda^2} \rho_{IJ}(\lambda^2) [(\lambda x)^7 K_1(\lambda x)] . \quad (6.7)$$

but note that it is only for the spin 0 function that we have the relation

$$G^{(0)} = \frac{1}{9} G_{IJ} \beta^I \beta^J . \quad (6.8)$$

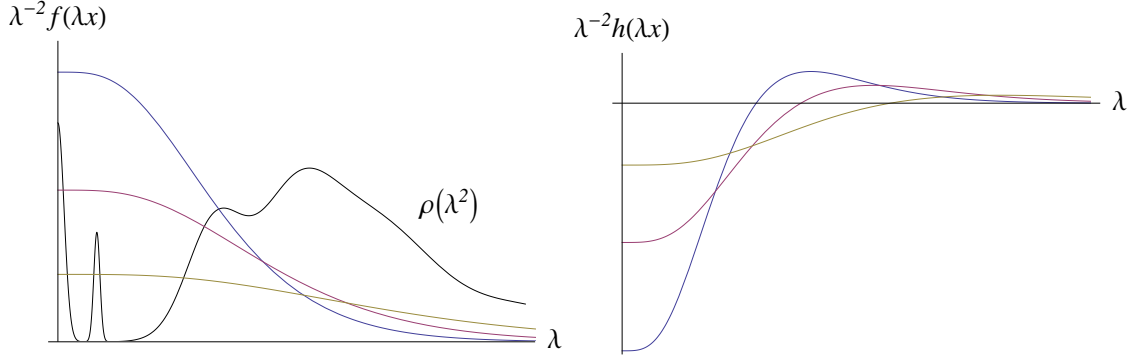
We then have the  $b$  and  $c$ -‘theorems’ [12, 13] for the spin 0 and spin 2 projections,

$$\beta^I \partial_I C^{(s)} = -2C^{(s)} + 24G^{(s)} , \quad (6.9)$$

where  $G^{(s)}$ , but not necessarily the combination  $12G^{(s)} - C^{(s)}$ , is positive definite. Although (6.9) determines the RG flow of the functions  $C^{(0)}$  and  $C^{(2)}$ , we cannot therefore infer that this flow is monotonic.

To understand the physical content of (6.9), rewrite the  $C^{(s)}$  functions in terms of the integral of the corresponding spin 0 or spin 2 spectral functions with a sampling function  $f(\lambda|x|)$  as in (4.18) and (4.20), *i.e.*

$$C^{(s)} = \frac{1}{(2\pi)^2} \int_0^\infty \frac{d\lambda^2}{\lambda^2} \rho^{(s)}(\lambda^2) f(\lambda|x|) , \quad (6.10)$$



**Figure 3.** The LH figure shows the sampling function  $f(\lambda|x|)$  defining the four-dimensional Zamolodchikov-like  $C^{(s)}$  functions for three values of the scale  $|x|$ , superimposed on a sketch of the spectral function  $\rho(\lambda^2)$ . As  $|x|$  is increased, the sampling function moves towards smaller  $\lambda$  values, though monotonicity of  $C^{(s)}$  is no longer evident. The RH plot shows the corresponding sampling function  $h(\lambda|x|)$  defining the functions  $G^{(s)}$ .

with

$$f(\lambda|x|) = (\lambda|x|)^7 [K_5(\lambda|x|) + 3K_3(\lambda|x|) - 4K_1(\lambda|x|)] . \quad (6.11)$$

Notice that because of the dimensional dependence, the power of the prefactor  $(\lambda|x|)^7$  is greater in this case than the highest order Bessel function  $K_5(\lambda|x|)$  (in two dimensions they were the same). As a result, in four dimensions  $f(\lambda|x|)$  itself has a Gaussian shape which moves to smaller  $\lambda$  as  $|x|$  is increased (similar to the function  $h(\lambda|x|)$  shown in figure 1), while the full integrand  $\lambda^{-2}f(\lambda|x|)$  is plotted in figure 3. This shows a clear difference from the corresponding function in the two-dimensional Zamolodchikov theorem, and in particular monotonicity as  $|x|$  increases is no longer evident.<sup>11</sup>

We can also define the sampling function  $h(\lambda|x|)$  characterising the rhs of (6.9). Here,

$$12G^{(s)} - C^{(s)} = \frac{1}{(2\pi)^2} \int_0^\infty \frac{d\lambda^2}{\lambda^2} \rho^{(s)}(\lambda^2) h(\lambda|x|) , \quad (6.12)$$

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<sup>11</sup>The integrals of the sampling functions  $f(\lambda|x|)$  and  $h(\lambda|x|)$  are given here by

$$\int_0^\infty \frac{d\lambda}{\lambda^2} f(\lambda|x|) = 2^{10} 3^2 , \quad \int_0^\infty \frac{d\lambda}{\lambda^2} h(\lambda|x|) = 0 .$$

It is a curious feature, whose physical significance is not immediately apparent, that for the particular combination of  $C^{(s)}$  and  $G^{(s)}$  appearing on the rhs of the flow equation (6.9), the integral over all  $\lambda^2$  of  $h(\lambda|x|)$  vanishes, showing that it gives equal and opposite weight to the UV and IR regions as defined by the scale  $|x|$ .

where  $h(\lambda|x|)$  is plotted in figure 3. Evidently, this lacks the positivity that would allow an interpretation (at least for the spin 0 function) as a metric on coupling space. Nevertheless, although we do not have monotonicity, the relation (6.9) for the RG flow of the Zamolodchikov-like functions  $C^{(s)}$  does in principle provide a detailed probe of the spectral functions  $\rho^{(s)}(\lambda^2)$ . The next step is to show how they are related to the  $\beta_c$  and  $\beta_b$  anomaly coefficients at fixed points.

Before moving on, we should comment briefly that the construction described here may be straightforwardly applied to other operators, particularly (anomalous) symmetry currents  $J_\mu$ , which do not necessarily appear in the original Lagrangian. The RG formalism with local sources goes through exactly as we have described here for the local couplings  $g^I$  sourcing the operators  $O_I$  (see [28] for a formal discussion, including applications to the operator product expansion). Moreover, we can follow the method described above to define  $C$  and  $G$  functions from the corresponding spectral functions characterising the two-point functions  $\langle J_\mu J_\nu \rangle$ , and derive an equation analogous to (6.9) (including in general an anomalous dimension term if  $J_\mu$  is not conserved) for the RG flow. These relations would again in principle provide interesting spectral information on the theory. Of course, such results lack the universality of the energy-momentum tensor, whose correlation functions probe the entire spectrum of the QFT and are therefore singularly important in constraining RG flow.

## 6.2 Renormalisation group flow and Weyl consistency conditions

The renormalisation group analysis of the  $C^{(s)}$  functions follows the same pattern described for the two-dimensional case in section 4.2, starting from the RGEs

$$\mathcal{D}\Omega^{(0)} = -\frac{8}{3}\beta_b\delta(x) \ , \quad \mathcal{D}\Omega^{(2)} = -4\beta_c\delta(x) \ . \quad (6.13)$$

Full details of the analysis may be found in [12, 13] and a summary is given in appendix C. We find, for the spin 2 function, and with the same notation as section 4.2,

$$C^{(2)} = -\frac{2^9 3^2}{5} \frac{1}{(2\pi)^2} \left[ 20\beta_c + \beta^I \partial_I F_{TT}^{(2)} + O(\beta^I, t) \right] \ , \quad (6.14)$$

so this function does indeed reduce to  $\beta_c$  at a fixed point. Recall that for free theories,  $\beta_c = -\frac{1}{120} (n_s + 6n_f + 12n_v)$ .

However, although we have the relation (6.9) controlling the RG flow of  $C^{(2)}$ , and the result that  $C^{(2)}$  is proportional to  $\beta_c$  at a fixed point, we cannot infer whether  $\beta_c^{UV}$

is bigger or smaller than  $\beta_c^{IR}$ . In fact, examples with both  $\beta_c^{UV} > \beta_c^{IR}$  and  $\beta_c^{UV} < \beta_c^{IR}$  are known.<sup>12</sup>

The results (6.9) and (6.14) may also be obtained using the position space representation described in section 4.3. For details of this derivation of the  $\beta_c$ -theorem, see the discussion around eq. (3.22) of [9].

For the  $C^{(0)}$  function, we can show

$$\begin{aligned} C^{(0)} &= -\frac{2^9}{(2\pi)^2} \left( 8\beta_b + \beta^I \partial_I F_{TT}^{(0)} + O(\beta^I, t) \right) \\ G_{IJ}^{(0)} &= -\frac{2^7 3}{(2\pi)^2} \left( \chi_{IJ}^a + \mathcal{L}_\beta F_{IJ} + O(\beta^I, t) \right) . \end{aligned} \quad (6.15)$$

So at this order, before we reach the  $O(t)$  term in the expansion of  $C^{(0)}$ , the RG flow equation

$$-x \frac{\partial C^{(0)}}{\partial x} = \beta^I \partial_I C^{(0)} = \frac{8}{3} G_{IJ} \beta^I \beta^J - 2C^{(0)} , \quad (6.16)$$

is satisfied by the vanishing of the rhs, that is

$$\beta^I \partial_I F_{TT}^{(0)} - \beta^I \beta^J \mathcal{L}_\beta F_{IJ} = \chi_{IJ}^a \beta^I \beta^J - 8\beta_b , \quad (6.17)$$

or equivalently [12]

$$\beta^I \partial_I \left( F_{TT}^{(0)} - F_{IJ} \beta^I \beta^J \right) = \chi_{IJ}^a \beta^I \beta^J - 8\beta_b . \quad (6.18)$$

Compare this with the Weyl consistency condition (5.22)

$$\beta^I \partial_I (-2\tilde{d}) \equiv \mathcal{L}_\beta (-2\tilde{d}) = \chi_{IJ}^a \beta^I \beta^J - 8\beta_b . \quad (6.19)$$

The compatibility of (6.18) and (6.19) is assured by the anomalous Ward identity (5.21) relating the two-point functions  $\langle T^\mu{}_\mu T^\rho{}_\rho \rangle$  and  $\beta^I \beta^J \langle O_I O_J \rangle$ .

The ‘ $\beta_b$ -theorem’ is therefore linked to a Weyl consistency condition and the two-point Green functions. However, once again the  $C^{(0)}$  function is not monotonic but

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<sup>12</sup>For example, SUSY QCD with  $N_c = n_f$  breaks the chiral symmetry  $SU(n_f)_L \times SU(n_f)_R \times U(1)_V \times U(1)_X$  to  $SU(n_f - m) \times SU(m)_V \times U(1)_X$  with (for any  $m$ )  $n_f^2$  chiral multiplets. Since the quarks and squarks form  $N_c n_f$  chiral multiplets, it is clear that  $\beta_c^{UV} > \beta_c^{IR}$ .

SUSY QCD with  $\frac{3}{2}N_c \leq n_f \leq 3N_c$  is in the conformal window and, exploiting the fact that the conformal anomaly lies in a supermultiplet with the  $R$  symmetry current, supersymmetry allows the calculation of exact results for  $\beta_c$  and also  $\beta_a$  in the UV and IR. These show that while  $\beta_a^{UV} \geq \beta_a^{IR}$  always,  $\Delta\beta_c$  can change sign, in accordance with our ‘theorem’.



exhibits the RG flow determined by (6.9). Moreover, since the Weyl consistency conditions imply  $\beta_b = O(\beta^I)$ , the function  $C^{(0)}$  vanishes at a fixed point. Its usefulness therefore appears to be limited although, as for the  $\beta_c$ -theorem, its RG flow gives dynamical information on the structure of the spin 0 spectrum.

### 6.3 The $\beta_a$ theorem from Weyl consistency conditions

The remaining potential generalisation of the Zamolodchikov theorem to four dimensions involves the coefficient  $\beta_a$  of the Euler density in the trace anomaly. As we have seen, unlike  $\beta_c$  and  $\beta_b$ , this cannot be accessed from the two-point Green functions of the energy-momentum tensor. This means that the most obvious source of positivity, and therefore monotonicity of the RG flow, is lost. An extensive attempt to use two-point functions in curved spacetime where the Euler index does not vanish, especially on spaces of constant curvature, essentially resulted only in a no-go theorem [16]. In the next section, we discuss the recently proposed proof of the  $a$ -theorem using four-point Green functions.

However,  $\beta_a$  does enter the Weyl consistency conditions, where it satisfies a constraint which is essentially identical to that for the Euler term in two dimensions. This is, see (5.10),

$$8\partial_I\beta_a - \chi_{IJ}^g\beta^J = -\mathcal{L}_\beta w_I , \quad (6.20)$$

and so, defining

$$\tilde{\beta}_a = \beta_a + \frac{1}{8}\beta^I w_I , \quad (6.21)$$

we have the key RG flow equation [7, 8],

$$\beta^I\partial_I\tilde{\beta}_a = \frac{1}{8}\chi_{IJ}^g\beta^I\beta^J . \quad (6.22)$$

The analogy with the two-dimensional  $c$ -theorem is clear. In order to establish (6.22) as a genuine, monotonic  $a$ -theorem, however, we need to show that the RG function  $\chi_{IJ}^g$  is positive definite, as required for it to represent a metric on coupling space.

Now, although  $\chi^g$  itself is not related to a two-point function, a further Weyl consistency condition from (5.10) relates it to  $\chi_{IJ}^a$ , which is related to the Green function  $\langle O_I O_J \rangle$  and is positive. This identity reads,

$$\chi_{IJ}^g + 2\chi_{IJ}^a + 2\partial_I\beta^K\chi_{KJ}^a + \beta^K\chi_{KIJ}^b = \mathcal{L}_\beta S_{IJ} . \quad (6.23)$$

Unfortunately, this identity involves also  $\chi_{IJK}^b$ , which is related to the three-point functions  $\langle O_I O_J O_K \rangle$  for which there is no obvious positivity constraint. However, as emphasised recently in [27], these are of  $O(\beta^I)$  so if they are sufficiently small, as may be expected in the vicinity of a fixed point,  $\chi_{IJ}^g$  could still inherit the positivity property of  $\chi_{IJ}^a$  in this limited region. A general proof of positivity for  $\chi_{IJ}^g$  nevertheless appears to be out of reach, although it has been calculated to high order in numerous examples and no counter-example has been found.<sup>13</sup> It therefore remains a valid conjecture that  $\chi_{IJ}^g$  is positive and (6.22) is indeed a monotonic  $a$ -theorem.

#### 6.4 The weak $\beta_a$ -theorem from dispersion relations for 4-point functions

Since  $\beta_a$  does not occur in the anomalous Ward identities or renormalisation group equations for the two-point Green functions of  $T_{\mu\nu}$ , we have to look further to try to relate the Weyl consistency condition (6.22) to Green functions and find a non-perturbative, monotonic  $a$ -theorem.

The crucial breakthrough made in [18–20] comes from the realisation that in fact  $\beta_a$  does contribute to the *four-point* Green functions of the energy-momentum tensor trace  $T^\mu{}_\mu$ . Moreover, four-point functions also have an implicit positive property related to the optical theorem, itself a consequence of unitarity. These properties are then used in a dispersion relation derivation of the *weak*  $\beta_a$  theorem, analogous to the proof of the two-dimensional  $c$ -theorem given in section 4.4.

The four-point function of  $T^\mu{}_\mu$  is found by taking four derivatives wrt  $\sigma(x)$  of the local renormalisation group identity (5.9),

$$(\Delta_\sigma^W - \Delta_\sigma^\beta) W = \int d^4x \sqrt{-g} \left[ \sigma(x) (\beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \beta_\Lambda) - \partial_\mu \sigma(x) Z^\mu \right] . \quad (6.24)$$

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<sup>13</sup>The importance of the definition  $\tilde{\beta}_a$  including the  $w_I$  contribution term is evident in pure Yang-Mills theory. In perturbation theory, we have [8, 22],

$$\beta_a(g) = \beta_a(0) + \frac{1}{8} \frac{\beta_1}{(4\pi)^6} n_v g^4 + O(g^6) ,$$

where  $\beta_0, \beta_1$  are the usual beta functions coefficients, and  $\beta_a(g)$  increases as we flow away from the UV fixed point. However,  $\tilde{\beta}(g)$  decreases, since including the  $w_I$  correction,

$$\tilde{\beta}_a(g) = \beta_a(0) - \frac{1}{4} \frac{\beta_0}{(4\pi)^6} n_v g^2 + O(g^4) .$$

The first derivative gives the trace anomaly,

$$T^\mu{}_\mu = \beta^I O_I + \beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} + \beta_\Lambda + D_\mu Z^\mu . \quad (6.25)$$

Taking further derivatives, and evaluating in flat space with  $\partial_\mu g^I = 0$ , the only contributions to survive are either  $O(\beta^I)$ , and so vanish at a fixed point, or come from the curvature squared terms. We therefore need the variations of  $\mathcal{F}$ ,  $\mathcal{G}$  and  $\mathcal{H}$  for  $g_{\mu\nu} \rightarrow e^{2\sigma(x)} g_{\mu\nu}$  up to  $O(\sigma^3)$ . This extends the formulae (5.4) and (5.16) for the first and second variations in section 5. These follow from the expansions (in four dimensions)

$$\begin{aligned} \sqrt{-g} \mathcal{F} &= 0 \\ \sqrt{-g} \mathcal{G} &= 8 (\partial^2 \sigma)^2 - \sigma_{,\mu\nu} \sigma^{,\mu\nu} + 16 \sigma_{,\mu} \sigma_{,\mu} \sigma^{,\mu\nu} + 8 \sigma_{,\mu} \sigma^{,\mu} \partial^2 \sigma + O(\sigma^4) \\ \sqrt{-g} \mathcal{H} &= 4 (\partial^2 \sigma)^2 - 8 \sigma_{,\mu} \sigma^{,\mu} \partial^2 \sigma + O(\sigma^4) , \end{aligned} \quad (6.26)$$

with notation  $\sigma, \mu = \partial_\mu \sigma$ . The second is crucial, showing that unlike the case of two-point functions considered previously, three and higher-point Green functions of the energy-momentum tensor trace are sensitive to the RG function  $\beta_a$ .

The four-point is then given by (abbreviating  $T^\mu{}_\mu(x) = T(x)$  for simplicity),

$$\begin{aligned} &\langle T(x_1) T(x_2) T(x_3) T(x_4) \rangle \\ &= \frac{\delta^4}{\delta \sigma(x_1) \delta \sigma(x_2) \delta \sigma(x_3) \delta \sigma(x_4)} \int d^4 x \sqrt{-g} \sigma(x) (\beta_a \mathcal{G} + \beta_b \mathcal{H}) \Big|_{\sigma=0} + O(\beta^I) , \end{aligned} \quad (6.27)$$

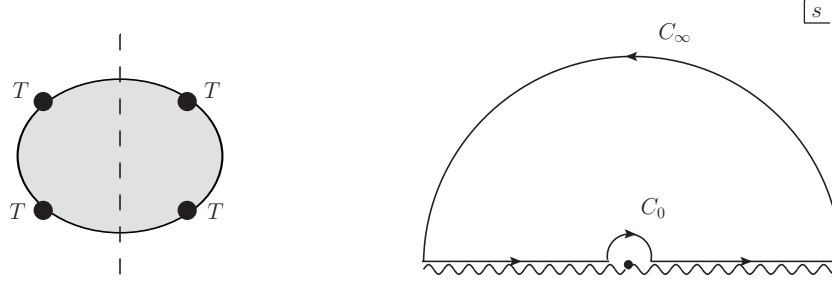
with  $\mathcal{G}$  and  $\mathcal{H}$  expanded in  $\sigma(x)$  as above.

The next step is to take the Fourier transform with momenta  $p_1, \dots, p_4$ , then write the Green function in terms of Mandelstam variables  $s = -(p_1 + p_2)^2$ ,  $t = -(p_1 - p_3)^2$  and  $u = -(p_1 - p_4)^2$ . This gives

$$\begin{aligned} \mathcal{A}(s, t, u) &= \text{f.t.} \langle T(x_1) T(x_2) T(x_3) T(x_4) \rangle \\ &= \text{f.t.} \frac{\delta}{\delta \sigma \delta \sigma \delta \sigma \delta \sigma} \int d^4 x \sqrt{-g} [\beta_a (-\tfrac{1}{2} \sigma_{,\mu} \sigma_{,\nu} \sigma^{,\mu} \sigma^{,\nu}) + \beta_b (-\tfrac{1}{2} \sigma \sigma_{,\mu} \sigma^{,\mu} \partial^2 \sigma)] + O(\beta^I) . \end{aligned} \quad (6.28)$$

To isolate the contribution from  $\beta_a$ , we choose the sources  $\sigma(x_i)$  to be null, *i.e.*  $\partial^2 \sigma = 0$ . Equivalently, we set the external momenta  $p_i^2 = 0$ , which implies  $s + t + u = 0$ . Then,

$$\begin{aligned} \mathcal{A}(s, t, u) &= -4\beta_a (p_1 \cdot p_2 p_3 \cdot p_4 + \text{perms}) + O(\beta^I) \\ &= -\beta_a (s^2 + t^2 + u^2) + O(\beta^I) . \end{aligned} \quad (6.29)$$



**Figure 4.** The LH figure shows the four-point function  $\langle T T T T \rangle$  with the cut giving rise to  $\text{Im } \mathcal{A}(s)$  in (6.32). The RH figure shows the contour in the complex  $s$ -plane used in the dispersion relation, showing the cuts on the real  $s$ -axis. The figure illustrates the case in which these may run to the origin.

We now write a dispersion relation for  $\mathcal{A}(s, t, u)$  in the ‘forward scattering’ limit  $t = 0$ , for which

$$\mathcal{A}(s, t, u) \Big|_{t=0} \rightarrow \mathcal{A}(s) = -2\beta_a s^2 . \quad (6.30)$$

Including a factor  $s^{-3}$  to ensure convergence, we integrate  $\mathcal{A}(s)/s^3$  over the familiar contour shown in figure 4. Notice that if the theory does not have a mass gap, the cuts on the positive and negative real  $s$ -axis will extend all the way to  $s = 0$ . This is the situation illustrated in the figure. As in section 4.4, the derivation of the dispersion depends on a number of critical assumptions:

1.  $\mathcal{A}(s)$  is analytic in the upper-half complex  $s$  plane.
2.  $\mathcal{A}(-s) = \mathcal{A}(s)$ . This follows from crossing symmetry, which implies  $\mathcal{A}(s, t, u) = \mathcal{A}(u, t, s)$ , where  $u = -s$  for  $t = 0$ .
3.  $\mathcal{A}(s^*) = \mathcal{A}(s)^*$ , *i.e.* hermitian analyticity.
4.  $\mathcal{A}(s)/s^3$  is bounded for large  $s$ .
5.  $\text{Im } \mathcal{A}(s) \geq 0$ , motivated by unitarity and the optical theorem for  $2 \rightarrow 2$  scattering.

We then have,

$$\int_{C_0} \frac{ds}{s^3} \mathcal{A}(s) + \int_{C_\infty} \frac{ds}{s^3} \mathcal{A}(s) + \int_{-\infty}^0 \frac{ds}{s^3} \mathcal{A}(s + i\epsilon) + \int_0^\infty \frac{ds}{s^3} \mathcal{A}(s + i\epsilon) = 0 . \quad (6.31)$$

Following the same steps detailed in (4.49) to (4.56), with  $\mathcal{A}(s) = -2\beta_a s^2$  at the UV and IR fixed points, we find

$$\beta_a^{UV} - \beta_a^{IR} = \frac{1}{\pi} \int_0^\infty \frac{ds}{s^3} \text{Im } \mathcal{A}(s) \geq 0 . \quad (6.32)$$

This is the weak  $\beta_a$ -theorem in four dimensions.

Clearly, the proof depends on the validity of the assumptions above, of which the most questionable is the assumption of hermitian analyticity,  $\mathcal{A}(s^*) = \mathcal{A}(s)$ . Normally in  $S$ -matrix theory, there is a mass gap between the cuts on the real  $s$ -axis, allowing  $\mathcal{A}(s)$  to be defined as a single analytic function over the whole complex plane. The assumption of real analyticity is then assured. However, with the cuts preventing the natural identification of  $\mathcal{A}_+(s)$  and  $\mathcal{A}_-(s)$  defined on the upper and lower-half planes respectively, assumption (3) above is not guaranteed to hold. Indeed, this resembles the situation recently found in studies of the Kramers-Krönig dispersion relation in curved spacetime [30], where a novel geometric-induced analytic structure also produces cuts to the origin in the complex  $\omega$  plane, and the dispersion relation fails in its usual form.

With this proviso, however, this appears to establish the weak  $\beta_a$  theorem, showing that as expected the RG function associated with the Euler density in the trace anomaly is less at an IR fixed point than at the UV fixed point at the origin of the flow. It does not, however, establish the full desired  $a$ -theorem analogous to the two-dimensional  $c$ -theorem, which of course requires a monotonically decreasing function all along the RG trajectory.

We can attempt to push this further by studying the origin of the  $\text{Im } \mathcal{A}(s)$  contribution to the relation (6.32). Just as for the two-point functions, successive differentiations of (6.24) yield the anomalous Ward identities relating the four-point functions of  $T^\mu{}_\mu$  to Green functions of the operators  $O_I$  (and the dimension 2 operators  $O_a$ , which we are neglecting here). This is the generalisation of the Ward identity (5.21) and involves four, three and two-point functions of the  $O_I$ , all terms of course being  $O(\beta^I)$ . In [27], it is shown how by taking suitable cuts of these Green functions we can define a positive definite metric  $G_{IJ}$  such that

$$\text{Im } \mathcal{A}(s) = -G_{IJ} \beta^I \beta^J, \quad (6.33)$$

and where for small  $\beta^I$ ,  $G_{IJ}$  is determined by the two-point function  $\langle O_I O_J \rangle$ , bringing (6.32) closer to the Zamolodchikov form.

## 7 Global Symmetries and Limit Cycles

In this final section, we consider the application of these ideas to theories exhibiting limit cycles. This requires an extension of the formalism of Weyl consistency conditions and the local renormalisation group to theories with global symmetries. It leads

to important consequences for both the issue of whether scale invariant theories are necessarily conformal and for the nature of the space of couplings.

## 7.1 Global symmetries and Weyl consistency conditions

In a theory with several fundamental fields, there may be a global symmetry  $G_F$  of the free part of the action. (For example, a theory with  $N$  real scalar fields may have an  $O(N)$  global, or flavour, symmetry.) This modifies the Weyl consistency conditions.

In brief (for a full discussion, see [8, 9, 22] and the more recent discussions in [26, 27]), if the symmetry transformation acting on fields  $\phi$  takes the form  $\delta\phi = -\omega\phi$ , where  $\omega$  is an element of the Lie algebra of  $G_F$ , then the couplings  $g^I(x)$  of operators  $O_I$ , viewed as sources, inherit a compensating transformation  $\delta g^I = -(\omega g)^I$  so the combination  $g^I O_I$  is invariant.<sup>14</sup> Associated with this global symmetry there is a current  $J_\mu(x)$ . Introducing the corresponding source  $A_\mu(x)$ , itself an element of  $G_F$ , so that  $J_\mu = -\delta S/\delta A^\mu$ , we may write the Ward identity

$$\int d^4x \sqrt{-g} \left( D_\mu \omega \frac{\delta}{\delta A_\mu} - (\omega g)^I \frac{\delta}{\delta g^I} \right) W = 0 . \quad (7.1)$$

The consistency conditions follow from considering the extended variation operator  $\Delta_\sigma^W + \Delta_\sigma^\beta + \Delta_\sigma^A$ , where

$$\Delta_\sigma^A = \int d^4x \sqrt{-g} \left( \sigma \beta_\mu^A \frac{\delta}{\delta A_\mu} - \partial_\mu \sigma S \frac{\delta}{\delta A_\mu} \right) , \quad (7.2)$$

with the notation  $\beta_\mu^A = \rho_I D_\mu g^I$ , where  $D_\mu g^I = \partial_\mu g^I + (A_\mu g)^I$ .

The anomaly then has additional contributions. The curvature terms are augmented by

$$\frac{1}{4} F_{\mu\nu} \kappa F^{\mu\nu} + \frac{1}{2} F^{\mu\nu} \zeta_{IJ} D_\mu g^I D_\nu g^J , \quad (7.3)$$

while  $Z_\mu$  may include

$$F^{\mu\nu} \eta_I D_\nu g^I , \quad (7.4)$$

where  $F_{\mu\nu}$  is the field strength associated with  $A_\mu$ , which can be viewed as a background gauge field.

We can eliminate the  $S$  contribution to  $Z_\mu$  using the  $G_F$  Ward identity by defining

$$B^I = \beta^I - (Sg)^I , \quad P_I = \rho_I + \partial_I S , \quad B_\mu^A = \beta_\mu^A + D_\mu S . \quad (7.5)$$

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<sup>14</sup>It is understood here that  $\omega$  is always taken in the appropriate representation, without explicit notation. Similarly for other elements of  $G_F$ , in particular  $A_\mu$ .

so that

$$B_\mu^A = \rho_I D_\mu g^I + D_\mu S = P_I D_\mu g^I . \quad (7.6)$$

Then, the trace anomaly is written as

$$T^\mu{}_\mu = B^I O_I + \beta_c \mathcal{F} + \beta_a \mathcal{G} + \beta_b \mathcal{H} - \frac{1}{4} F_{\mu\nu} \kappa F^{\mu\nu} + B_\mu^A J^\mu + D_\mu Z^\mu . \quad (7.7)$$

The analysis of the consistency conditions then goes through as before. We find in particular,

$$\begin{aligned} 8\partial_I \beta_a &= \chi_{IJ}^g B^J - \mathcal{L}_B \omega_I - (P_I g)^J \omega_J \\ B^I P_I &= 0 . \end{aligned} \quad (7.8)$$

Now consider the consequences of these conditions for renormalisation group flows. Consider first a general flow defined by a vector field  $f_I = dg^I/dt$ , where  $t$  is a parameter along the flow. The first consistency (7.8) is

$$8 \frac{d\tilde{B}_a}{dt} = \chi_{IJ}^g f^I B^J + f^I B^J (\partial_I \omega_J - \partial_J \omega_I) - (P^I g)^J f_I \omega_J , \quad (7.9)$$

where

$$\tilde{B}_a = \beta_a + \frac{1}{8} B^I \omega_I . \quad (7.10)$$

Two special cases of of key interest. First, for the RG flow itself with  $f_I = B_I$ , and using  $B^I P_I = 0$ , we have

$$B^I \partial_I \tilde{B}_a = \frac{1}{8} \chi_{IJ}^g B^I B^J , \quad (7.11)$$

generalising (6.22). If  $\chi_{IJ}^g$  is indeed positive, this shows that  $\tilde{B}_a$  is monotonically decreasing along an IR-directed RG flow generated by the generalised beta function  $B^I$ . Second, we can consider the flow  $f_I = -(\omega g)_I$  generated by the action of  $G_F$  on the couplings. In this case, it can be shown that  $\tilde{B}_a$  is  $G_F$  invariant, so is constant along this flow. This is also true of  $\tilde{\beta}_a$ .

## 7.2 Limit Cycles

Four-dimensional quantum field theories exhibiting limit cycles have been found in gauge theories with scalar fields exhibiting a global  $G_F$  symmetry in their free action in [23]. They have the important feature that along the limit cycle, the RG flow (with  $\beta^I$ ) is generated by an element  $Q$  of  $G_F$ . That is, along the cycle,  $\beta^I = (Qg)^I$ .

Although  $\beta^I$  is non-zero along the limit cycle, the theory is scale invariant, with  $T^\mu{}_\mu = \partial^\mu J_\mu$ . We need to resolve the question whether it is also conformal invariant, *i.e.* whether  $T^\mu{}_\mu = 0$ .

We now prove the following assertions using the formalism above [22]:

1.  $S = Q$  on a limit cycle.
2.  $S = 0$  at a fixed point.
3. the theory is conformal invariant on its limit cycles.

Consider a flow generated by  $B^I(g)$  where the coupling  $g$  lies on the limit cycle. Then,

$$B^I = \beta^I - (Sg)^I = ((Q - S)g)^I . \quad (7.12)$$

Since  $(Q - S) \in G_F$ , the flow is of the second type considered above, generated by the action of the symmetry group  $G_F$  on the couplings. Then, since  $\tilde{B}_a$  is constant, and assuming  $\chi_{IJ}^g$  is positive definite, we find from (7.11) that  $B^I = 0$ . It then follows from (7.12) that  $S = Q$ .

At a fixed point, where  $\beta^I = 0$ , we have  $B^I = -(Sg)^I$  from (7.5), so the  $B^I$  flow is generated by a  $G_F$  transformation. Since this means  $\tilde{B}_a$  is constant, the same argument as above using (7.11) and positivity of  $\chi_{IJ}^g$  shows  $(Sg)^I = 0$ . So we conclude that  $S = 0$  at a fixed point.

The essential idea here is that a potential contribution to  $T^\mu{}_\mu$  involving a current  $J_\mu$  associated to a  $G_F$  element  $P$  can be absorbed into a redefinition of the  $B^I$  function (see the definition (7.5)). But since  $B^I = -(Pg)^I$  is a  $G_F$  flow, by the familiar argument,  $\tilde{B}_a$  is constant and  $(Pg)^I = 0$ . This shows that the current may be removed, leaving  $T^\mu{}_\mu = 0$  on the limit cycle.

The picture that emerges is as follows:

Limit cycles exist, with  $\beta^I = (Qg)^I \neq 0$ , where  $Q \in G_F$ . Along the cycle,  $\tilde{\beta}_a$  is constant, since it is  $G_F$  invariant. So  $\tilde{\beta}_a$  is *not* monotonically decreasing – there is no  $\tilde{\beta}_a$  theorem in these theories with global symmetries and limit cycles.

However,  $B^I = 0$  on the cycle and  $\tilde{B}_a$  is constant, consistent with the  $\tilde{B}_a$  theorem (7.11) with  $\chi_{IJ}^g$  positive definite. On the cycle, the trace anomaly vanishes,  $T^\mu{}_\mu = 0$ , and so the theory is not simply scale-invariant but truly conformal.



In view of this, we should regard the true theory space as  $\mathcal{M} = \{g^I\} / G_F$ , *i.e.* identifying couplings related by  $G_F$  transformations. The relevant flow is then that generated by the generalised beta functions  $B^I$ . Such RG flows end at fixed points on the space  $\mathcal{M}$ , where the theory is conformal.<sup>15</sup>

## 8 Summary and Outlook

In this paper, we have reviewed the development of the local renormalisation group from the initial ideas linking renormalisation with local couplings and anomalous Weyl symmetry to its elegant modern algebraic formulation. We have presented a detailed account of the renormalisation of Green functions of the energy-momentum tensor within the local RG approach, showing how the RG functions characterising these Green functions are related to the coefficients of the trace anomaly in curved spacetime. The key rôle of the Weyl consistency conditions was explained, highlighting the remarkable relations they imply for the RG flow of the trace anomaly coefficients.

A key stimulus in the development of the local RGE has been the long-standing search for a four-dimensional version of the  $c$ -theorem which incorporates all the properties of the original. As we have seen, while this goal is tantalisingly close, it cannot yet be considered to be fully achieved. Our approach here has been first to review and investigate many different approaches to proving the two-dimensional Zamolodchikov theorem in order to identify which route offers the best chance of being successfully extended to higher dimensions. We have especially tried to emphasise the physical understanding of the theorem, particularly through a detailed analysis of the spectral function approach. In particular, this allowed us to formulate the Zamolodchikov-like ‘theorems’ involving the coefficients  $\beta_c$  and  $\beta_b$  of the Weyl and Ricci terms in the four-dimensional trace anomaly. Neither of these, however, has the key monotonicity property of the two-dimensional theorem and so although they encode detailed information about the structure of the spectral functions characterising the two-point Green functions of the energy-momentum tensor, their usefulness in constraining the nature of RG flows appears limited.

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<sup>15</sup>This is reminiscent of the conditions for conformal invariance in 2-dim string-related non-linear sigma models. It was shown in [4, 32] that in these models, the condition for the absence of a conformal anomaly involves not the usual beta functions, but generalisations which are invariant under spacetime diffeomorphism invariance, which appears as a ‘flavour’ symmetry of the target space for the sigma model fields defined over the 2-dim worldsheet.

This leaves the coefficient of the Euler density  $E_4$  in the trace anomaly as the remaining candidate – the would-be  $a$ -theorem originally conjectured by Cardy [11]. As we have seen, the difficulty here is that the second derivative of the integral of  $E_4$  with respect to the metric vanishes when evaluated on flat space, so the corresponding RG coefficient  $\beta_a$  does not enter into the two-point Green functions of  $T_{\mu\nu}$ . This is despite the remarkable observation of Osborn [7] that  $\beta_a$  satisfies a flow equation of precisely the Zamolodchikov form as a consequence of the Weyl consistency conditions. One option, of studying higher-point Green functions and exploiting the fact that the higher derivatives of the integral of  $E_4$  do not vanish, so that  $\beta_a$  is then involved, appears at first sight to lose any chance of establishing the necessary positivity property to constrain its RG flow.

The alternative, of sticking to two-point functions but working on a constant-curvature background where the Euler density is non-vanishing, was explored in depth in [16], following earlier work by [17]. As this would take us a little outside the main line of this review, we have omitted this topic and refer to [16] for a full account. The conclusion, however, is that it is not possible to establish the  $\beta_a$  theorem this way, essentially because the theory then contains *two* scales, the RG scale  $\mu$  and the curvature  $R$ , which changes the relation between the scale dependence and RG flow (see section 14 of [16] for a discussion of the issues involved). Similar physical considerations obstruct the existence of a Zamolodchikov-type theorem in finite temperature QFTs or theories with a non-vanishing chemical potential.

At this point, it is interesting to consider the potential implications of even a weak  $a$ -theorem for symmetry breaking and the spectrum of QFTs (see, for example, [33]). Given the strong dependence of  $\beta_a \sim (n_s + 11n_f + 62n_v)$  on  $n_v$ , the clear implication is that as we flow into the IR, the theory will try to minimise the number of massless vectors in the spectrum in favour of scalar particles. Note that we have shown that  $\beta_c \sim (n_s + 6n_f + 12n_v)$ , with its weaker  $n_v$ -dependence, need not reduce in the IR. This accords with the situation in QCD, for example, where the gluons and quarks in the asymptotically free UV spectrum are traded for Goldstone bosons in the IR as a consequence of chiral symmetry breaking. This illustrates how four-dimensional Zamolodchikov-type theorems can in principle give important constraints on phase transitions. However, we should note that there exist examples of theories where gauge symmetries are broken at high temperature and restored at low temperature [34] in contrast to the more familiar situation in QCD and electroweak theories. This of course accords with our observation that we would not expect an  $a$ -theorem in theories at finite temperature.

A similar argument can be made for QFTs in curved spacetime. It was first shown in [35] that in spontaneously broken gauge theories in spacetimes of constant curvature, the symmetry is restored when the curvature  $R$  exceeds a critical value. This is the normal situation. However, it is possible to contrive models, analogous to [34], in curved spacetime where gauge symmetries are instead broken for large  $R$  and restored for small curvature [36], contradicting a would-be  $a$ -theorem. This supports the conclusion of [16] that the  $a$ -theorem cannot be established by consideration of the two-point Green functions of  $T_{\mu\nu}$  in curved spacetime.

Returning now to flat space QFTs, the breakthrough in establishing the weak  $a$ -theorem

$$\beta_a^{UV} - \beta_a^{IR} = \frac{1}{\pi} \int_0^\infty \frac{ds}{s^3} \text{Im } \mathcal{A}(s) \geq 0 , \quad (8.1)$$

in [18–20] came from the recognition of two key points: first, that the RG coefficient  $\beta_a$  does enter the four-point Green functions of the energy-momentum tensor trace  $T^\mu{}_\mu$ , as determined by the local RGE; and second, that there is indeed a positivity principle associated with four-point functions arising from the optical theorem constraint  $\text{Im } \mathcal{A}(s) \geq 0$ . Finally, the recognition that the dependence on  $\beta_a$  can be isolated by choosing a particular kinematical configuration (null external momenta) allows the two-dimensional dispersion relation derivation of the weak  $c$ -theorem presented here in section 4.4 to be extended to the  $a$ -theorem in four dimensions. This remarkable advance seems to be convincingly established, though we have been careful to highlight the assumptions made in the derivation in section 6.4, most notably that of hermitian analyticity of the amplitude  $\mathcal{A}(s)$ . It still remains, however, to fully establish the strong  $a$ -theorem, which entails identifying a corresponding non-perturbative, monotonic  $C$ -function all along the RG trajectory not just in the vicinity of fixed points, together with a similarly well-defined positive definite metric  $G_{IJ}$ . This should also exhibit the same close relation to the Osborn identity

$$\beta^I \partial_I \tilde{\beta}_a = \frac{1}{8} \chi_{IJ}^g \beta^I \beta^J , \quad (8.2)$$

as for the two-dimensional  $c$ -theorem.

The analysis of [18–20] makes extensive use of a ‘dilaton’ effective action. In this approach, the dilaton field  $\tau(x)$  is introduced as a source for the energy-momentum tensor trace  $T^\mu{}_\mu$ , so that its effective action is formally

$$\Gamma[\tau] = \sum_{n=0}^{\infty} \frac{1}{n!} \int d^4x_n \dots \int d^4x_1 \tau(x_n) \dots \tau(x_1) \frac{\delta^n W}{\delta \tau(x_1) \dots \delta \tau(x_n)} . \quad (8.3)$$

See, for example, [27, 37] for a particularly clear description of this formalism. The dilaton effective action therefore serves essentially as a book-keeping device for the anomalous Ward identities and local RGEs controlling the  $n$ -point Green functions of  $T^\mu{}_\mu$ . Note that any further dynamics attributed to the dilatons in  $\Gamma[\tau]$  constitutes an assumption about the properties of the original theory which is not justified by anomalous Weyl symmetry or renormalisation group considerations. In particular, there is no requirement that the dilaton should be a physical particle. This is why we did not need to introduce dilatons at all in order to derive the weak  $a$ -theorem and related results here. Nevertheless, the dilaton effective action is a very convenient and elegant way of encoding all this information about the Green functions of  $T^\mu{}_\mu$  and, as demonstrated by [18–20, 27] has also proved invaluable in motivating new directions of investigation.

As a final illustration of the power of the local RGE, we showed how it could be applied to give a clear understanding into how the existence of limit cycles in QFTs with a  $G_F$  global symmetry could be reconciled with monotonicity of the RG flow. Central to this was the generalisation of (8.2) to read

$$B^I \partial_I \tilde{B}_a = \frac{1}{8} \chi_{IJ}^g B^I B^J, \quad (8.4)$$

where the generalised beta functions  $B^I$  vanish on the limit cycle while the  $G_F$ -invariant RG function  $\tilde{B}_a = \beta_a + \frac{1}{8} B^I \omega_I$  is constant.

The idea of defining RG functions which are invariant under a symmetry of the theory, whether it is diffeomorphisms as in the original string-inspired sigma models [4, 32] or global internal symmetries [8, 22, 26, 27] gives an important insight into the nature of the space of couplings  $\mathcal{M}$ , the ‘theory space’ of QFTs, on which the RG flows act. Here, we conclude that  $\mathcal{M} = \{g^I\} / G_F$ , showing that the true coupling space is one where couplings related by  $G_F$  transformations are identified. This shows very clearly how in the space  $\{g^I\}$ , a RG flow generated by the usual beta functions  $\beta^I$  ending on a limit cycle where  $\beta^I$  is non-zero is viewed in the true space  $\mathcal{M}$  as a flow ending on a fixed point with  $B^I = 0$ , where the theory is conformal. This is a beautiful illustration of the potential of the local RG and anomalous Weyl symmetry to give important insight into the fundamental structure of QFT.

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## A Counterterms for renormalised Green functions

In this appendix, we give a self-contained derivation of the counterterms arising in the Green functions considered in section 2. To begin, recall the results (2.18), (2.20) for  $T^\mu{}_\mu$  and  $O_I$ , which are determined from the first derivatives of the action, *i.e.*

$$T^\mu{}_\mu = \frac{1}{\sqrt{-g}} \frac{\delta S}{\delta \sigma} = \epsilon \left( g_B^I O_{BI} + \frac{1}{2(n-1)} c_B R - \Lambda_B \right) + D^2 c_B + 2\mu^{-\epsilon} \Lambda, \quad (\text{A.1})$$

and

$$O_I = \frac{1}{\sqrt{-g}} \frac{\delta S}{\delta g^I} = Z_I^J O_{BJ} + \mu^{-\epsilon} \left( \frac{1}{2(n-1)} \partial_I L_c R - \frac{1}{2} \partial_I A_{JK} \partial g^J \partial g^K + D_\mu (A_{IJ} \partial^\mu g^J) \right). \quad (\text{A.2})$$

We also need the formulae for transformations under Weyl rescalings  $\delta g^{\mu\nu} = 2\sigma g^{\mu\nu}$ , *viz.*  $\delta \sqrt{-g} = -n \sqrt{-g}$  and

$$\delta R = 2\sigma R + 2(n-1)D^2 \sigma, \quad \delta D^2 = 2\sigma D^2 - (n-2)\partial_\mu \sigma \partial^\mu. \quad (\text{A.3})$$

First, we recover the expression (2.22) for  $T^\mu{}_\mu$  written entirely in terms of renormalised quantities. The definition of the beta functions in section 2 gives

$$\begin{aligned} \epsilon \left( g_B^I O_{BI} + \frac{1}{2(n-1)} c_B R - \Lambda_B \right) &= \hat{\beta}^I Z_I^J O_{BJ} + \mu^{-\epsilon} \left( \hat{\beta}_c + \hat{\beta}^I \partial_I L_c \right) \frac{1}{2(n-1)} R \\ &\quad - \mu^{-\epsilon} \left( \hat{\beta}_\Lambda + \frac{1}{2} \mathcal{L}_{\hat{\beta}} A_{IJ} \partial_\mu g^I \partial^\mu g^J \right). \end{aligned} \quad (\text{A.4})$$

Substituting into (A.1) and using the definition (A.2) of the renormalised operators  $O_I$ , this gives

$$\begin{aligned} T^\mu{}_\mu &= \hat{\beta}^I O_I + \mu^{-\epsilon} \left( \frac{1}{2(n-1)} \hat{\beta}_c R - \hat{\beta}_\Lambda \right) + \mu^{-\epsilon} (D^2 c + 2\Lambda) \\ &\quad + \mu^{-\epsilon} D_\mu \left( \partial^\mu L_c - \hat{\beta}^I A_{IJ} \partial^\mu g^J \right) \\ &\quad + \mu^{-\epsilon} \left[ -\frac{1}{2} \left( \mathcal{L}_{\hat{\beta}} A_{IJ} \right) \partial_\mu g^I \partial^\mu g^J + \frac{1}{2} \left( \hat{\beta}^K \partial_K A_{IJ} \right) \partial_\mu g^I \partial^\mu g^J + \partial_\mu \hat{\beta}^I A_{IJ} \partial^\mu g^J \right] \end{aligned} \quad (\text{A.5})$$

Using the definition (2.13) of the Lie derivative, and writing  $\partial_\mu \hat{\beta}^I = \partial_K \hat{\beta}^I \partial_\mu g^K$ , we see that the term in the square bracket vanishes. Then, finally, letting  $Z_\mu = w_I \partial_\mu g^I = \partial_\mu L_c - \hat{\beta}^I A_{IJ} \partial_\mu g^J$ , we recover the expression (2.22) for the trace anomaly.

Now consider the renormalisation of the two-point Green functions (2.35) - (2.37). Here, we need the second variations of the action w.r.t.  $\sigma$  and  $g^I$ . First, taking a Weyl variation of (A.1) for  $T^\mu{}_\mu(y)$  w.r.t.  $\sigma(x)$ , we have

$$\begin{aligned} \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \frac{\delta^2 S}{\delta \sigma(x) \delta \sigma(y)} &= \epsilon \langle T^\mu{}_\mu \rangle \delta(x, y) + \epsilon (c_B D^2 + \partial_\mu c_B \partial^\mu) \delta(x, y) \\ &\quad + 2(\epsilon - 2) \mu^{-\epsilon} \Lambda \delta(x, y) \\ &\rightarrow \mu^{-\epsilon} \epsilon L_c D^2 \delta(x, y) , \end{aligned} \quad (\text{A.6})$$

evaluating at the physical couplings  $c = \Lambda = \partial_\mu g^I = 0$  and taking the  $\epsilon \rightarrow 0$  limit.

Next, varying (A.2) for  $O_I(y)$  w.r.t.  $\sigma(x)$ , and recalling that  $O_{BI}$  transforms as  $\delta O_{BI} = 2\sigma O_{BI}$ , we find

$$\begin{aligned} \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \frac{\delta^2 S}{\delta \sigma(x) \delta g^I(y)} &= (\epsilon - 2) O_I \delta(x, y) + 2 Z_I{}^J O_{BJ} \delta(x, y) \\ &\quad + \mu^{-\epsilon} \left[ \frac{1}{2(n-1)} \partial_I L_c (2R + 2(n-1) D^2) \delta(x, y) + O(\partial_\mu g^I) \right] \\ &\rightarrow \mu^{-\epsilon} \epsilon \partial_I L_c D^2 \delta(x, y) . \end{aligned} \quad (\text{A.7})$$

The counterterm for the two-point function of the operator  $O_I$  is a little more subtle. Here, we need

$$\begin{aligned} \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \frac{\delta^2 S}{\delta g^I(x) \delta g^J(y)} &= (\partial_I Z_J{}^K) O_{BK} \delta(x, y) \\ &\quad + \mu^{-\epsilon} \left[ \frac{1}{2(n-1)} \partial_I \partial_J L_c R \delta(x, y) + A_{IJ} D^2 \delta(x, y) \right] , \end{aligned} \quad (\text{A.8})$$

where  $\partial_I Z_J{}^K = \mu^{-\epsilon} \partial_I \partial_J L^K$ , but there is no further obvious simplification. In fact, however, we only need this relation contracted with  $\hat{\beta}^J$  for the applications here. In that case, we may use the relation

$$\begin{aligned} \hat{\beta}^J \partial_I Z_J{}^K &= \partial_I \left( \hat{\beta}^J \partial_J g_B^K \right) - \left( \partial_I \hat{\beta}^J \right) Z_J{}^K \\ &= \left( \epsilon \delta_I^J - \partial_I \hat{\beta}^J \right) Z_J{}^K \\ &= - \left( \partial_I \hat{\beta}^J \right) Z_J{}^K , \end{aligned} \quad (\text{A.9})$$

to show

$$\begin{aligned} \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \hat{\beta}^J \frac{\delta^2 S}{\delta g^I(x) \delta g^J(y)} &= -\partial_I \hat{\beta}^J O_J \delta(x, y) + \mu^{-\epsilon} A_{IJ} \hat{\beta}^J D^2 \delta(x, y) \\ &+ \mu^{-\epsilon} \left[ \frac{1}{2(n-1)} R \left( \partial_I \beta^J \partial_J L_c + \hat{\beta}^J \partial_J \partial_I L_c \right) \delta(x, y) \right]. \end{aligned} \quad (\text{A.10})$$

A final simplification then gives

$$\begin{aligned} \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \hat{\beta}^J \frac{\delta^2 S}{\delta g^I(x) \delta g^J(y)} &= -\partial_I \hat{\beta}^J O_J \delta(x, y) \\ &+ \mu^{-\epsilon} \left[ -\frac{1}{2(n-1)} R \partial_I \beta_c \delta(x, y) + A_{IJ} \hat{\beta}^J D^2 \delta(x, y) \right], \end{aligned} \quad (\text{A.11})$$

as shown in (2.40). Notice the appearance here of  $\hat{\gamma}_I^J = \partial_I \hat{\beta}^J$  as the anomalous dimension matrix for the operators  $O_I$ . This follows immediately from its definition  $\hat{\gamma}_I^J = -\mu \frac{d}{d\mu} Z_I^K (Z^{-1})_K^J$  using (2.4) and (2.21).

We can make one final consistency check of this whole formalism by evaluating the relation (A.7) taking the derivatives in reverse order. From (A.1), this gives

$$\begin{aligned} \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \left[ \frac{\delta^2 S}{\delta g^I(x) \delta \sigma(y)} - \hat{\beta}^J \frac{\delta^2 S}{\delta g^I(x) \delta g^J(y)} \right] &= \partial_I \hat{\beta}^J O_J \delta(x, y) \\ &+ \mu^{-\epsilon} \left[ \frac{1}{2(n-1)} R \partial_I \beta_c \delta(x, y) + \omega_I D^2 \delta(x, y) \right] + O(c, \Lambda, \partial_\mu g^I). \end{aligned} \quad (\text{A.12})$$

Substituting with (A.11), we then find

$$\begin{aligned} \frac{1}{\sqrt{-g(x)}} \frac{1}{\sqrt{-g(y)}} \frac{\delta^2 S}{\delta g^I(x) \delta \sigma(y)} &= \mu^{-\epsilon} \left[ \omega_I + A_{IJ} \hat{\beta}^J \right] D^2 \delta(x, y) \\ &= \mu^{-\epsilon} \partial_I L_c D^2 \delta(x, y), \end{aligned} \quad (\text{A.13})$$

recovering the identity (A.7) as required.

## B RGEs for two-point Green functions

In section 3.1 we found the renormalisation group equations for two-point Green functions by successive differentiation of the fundamental RGE (3.1) for the generating

functional  $W$ . As an exercise in renormalisation theory, and a consistency check, we should be able to reproduce these results from the expressions in section 2.3 giving the renormalised two-point functions in terms of the bare functions. Note though that the operators in these bare two-point functions are themselves the renormalised ones, so will contribute to the full RGEs. This exercise turns out to be remarkably intricate compared with the simplicity of section 2.3, but is nevertheless instructive.

First, note that since here we are acting on bare functions, we need to use the RG operator including terms of  $O(\epsilon)$ , *i.e.*

$$\hat{\mathcal{D}} = \mu \frac{\partial}{\partial \mu} + \hat{\beta}^I \frac{\partial}{\partial g^I} . \quad (\text{B.1})$$

Now recall the relation (2.38) for the renormalised two-point function of energy-momentum tensor traces:

$$\begin{aligned} i \langle T^\mu{}_\mu(x) T^\rho{}_\rho(y) \rangle - i \langle T^\mu{}_\mu(x) T^\rho{}_\rho(y) \rangle_B + (2 - \epsilon) \langle T^\mu{}_\mu(x) \rangle \delta(x, y) \\ = \epsilon \mu^{-\epsilon} L_c D^2 \delta(x, y) \end{aligned} \quad (\text{B.2})$$

Given that  $T^\mu{}_\mu$  is RG invariant, the bare two-point function does not contribute and we have

$$\begin{aligned} \hat{\mathcal{D}} i \langle T^\mu{}_\mu(x) T^\rho{}_\rho(y) \rangle &= \epsilon \hat{\mathcal{D}} (\mu^{-\epsilon} L_c) D^2 \delta(x, y) = \epsilon \mu^{-\epsilon} \left( -\epsilon L_c + \hat{\beta}^I \partial_I L_c \right) D^2 \delta(x, y) \\ &= -\epsilon \mu^{-\epsilon} \beta_c D^2 \delta(x, y) . \end{aligned} \quad (\text{B.3})$$

Since  $\beta_c$  is finite, the rhs vanishes at  $n = 2$  and so we recover

$$\mathcal{D} i \langle T^\mu{}_\mu(x) T^\rho{}_\rho(y) \rangle = 0 . \quad (\text{B.4})$$

Next, consider (2.39),

$$i \langle T^\mu{}_\mu(x) O_I(y) \rangle - i \langle T^\mu{}_\mu(x) O_I(y) \rangle_B = \mu^{-\epsilon} \partial_I L_c D^2 \delta(x, y) . \quad (\text{B.5})$$

Using the RG equation for  $O^I$  from (3.9), and discarding disconnected terms, we find

$$\hat{\mathcal{D}} i \langle T^\mu{}_\mu(x) O^I(y) \rangle + i \hat{\gamma}_I^J \langle T^\mu{}_\mu(x) O_J(y) \rangle_B = \hat{\mathcal{D}} (\mu^{-\epsilon} \partial_I L_c) D^2 \delta(x, y) , \quad (\text{B.6})$$

and substituting for the bare function from (B.5),

$$\begin{aligned} \mathcal{D} i \langle T^\mu{}_\mu(x) O^I(y) \rangle + i \gamma_I^J \langle T^\mu{}_\mu(x) O_J(y) \rangle \\ = \mu^{-\epsilon} \hat{\gamma}_I^J \partial_J L_c D^2 \delta(x, y) + \mu^{-\epsilon} \left( -\partial_I \beta_c - \hat{\gamma}_I^J \partial_J L_c \right) D^2 \delta(x, y) , \end{aligned} \quad (\text{B.7})$$



where we have used (3.4) and (B.3). The divergent terms involving  $\partial_J L_c$  cancel, leaving finally

$$\mathcal{D} i\langle T^\mu_\mu(x) O^I(y) \rangle + i\gamma_I^J \langle T^\mu_\mu(x) O_J(y) \rangle = -\partial_I \beta_c D^2 \delta(x, y) , \quad (\text{B.8})$$

in agreement with (3.12).

The remaining two-point function  $\langle O_I O_J \rangle$  is considerably more complicated. From (2.37) and (A.8), we have

$$\begin{aligned} i\langle O_I(x) O_J(y) \rangle - i\langle O_I(x) O_J(y) \rangle_B &= K_{IJ}^K O_K \delta(x, y) \\ &+ \mu^{-\epsilon} \left( -K_{IJ}^K \partial_K L_c + \partial_I \partial_J L_c \right) \frac{1}{2(n-1)} R \delta(x, y) + \mu^{-\epsilon} A_{IJ} D^2 \delta(x, y) + O(\partial g^I) , \end{aligned} \quad (\text{B.9})$$

where we have defined  $K_{IJ}^K = (\partial_I Z_J^L) (Z^{-1})_L^K$ . Note that since  $\partial_I Z_J^K = \mu^{-\epsilon} \partial_I \partial_J L^K$ , we can readily show  $\hat{\beta}^I K_{IJ}^K = -\gamma_J^K$  (compare (A.9)). Now, after some calculation, we can show from (B.9) that

$$\begin{aligned} \mathcal{D} i\langle O_I(x) O_J(y) \rangle + \gamma_I^K i\langle O_K(x) O_J(y) \rangle + \gamma_J^K i\langle O_I(x) O_K(y) \rangle \\ = [\mathcal{D} K_{IJ}^K - K_{IJ}^L \hat{\gamma}_L^K + \hat{\gamma}_I^L K_{LJ}^K + \hat{\gamma}_J^L K_{IL}^K] \langle O_L \rangle \delta(x, y) \\ + \mu^{-\epsilon} \left[ \mathcal{D} F_{IJ} + \hat{\gamma}_I^K F_{KJ} + \hat{\gamma}_J^K F_{IK} - K_{IJ}^K \partial_K \hat{\beta}_c \right] \frac{1}{2(n-1)} R \delta(x, y) \\ + \mu^{-\epsilon} [\mathcal{D} A_{IJ} + \hat{\gamma}_I^L A_{LJ} + \hat{\gamma}_J^L A_{IL}] D^2 \delta(x, y) , \end{aligned} \quad (\text{B.10})$$

where here  $F_{IJ} = -K_{IJ}^K \partial_K L_c + \partial_I \partial_J L_c$ . The expressions in square brackets are evaluated by noting that the combinations of  $\mathcal{D}$  and the  $\gamma$  functions can be written (see section 2.2) in terms of the Lie derivative as the operator  $-\epsilon + \mathcal{L}_{\hat{\beta}}$ . A tricky calculation using the definitions in section 2.1 then shows that these expressions are finite and are respectively  $-\partial_I \partial_J \beta^K$ ,  $-\partial_I \partial_J \beta_c$  and  $-\chi_{IJ}$ . Finally therefore, we find the RGE:

$$\begin{aligned} \mathcal{D} i\langle O_I(x) O_J(y) \rangle + \gamma_I^K i\langle O_K(x) O_J(y) \rangle + \gamma_J^K i\langle O_I(x) O_K(y) \rangle + \partial_I \partial_J \beta^K \langle O_K \rangle \delta(x, y) \\ = -\frac{1}{2} \partial_I \partial_J \beta_c R \delta(x, y) - \chi_{IJ} D^2 \delta(x, y) , \end{aligned} \quad (\text{B.11})$$

reproducing the result previously found in (3.14).

## C Zamolodchikov functions in $n$ dimensions

Here, we give the details of the generalisation to four dimensions of the construction of the Zamolodchikov functions  $F$ ,  $G$ ,  $H$  and  $C$  in terms of spectral functions and Bessel

functions described for two dimensions in section 4.1. We also give further details of the identification with RG functions. This augments the discussion of the four-dimensional  $\beta_c$  and  $\beta_b$  theorems in section 6. For completeness, we quote formulae for general  $n$  dimensions where appropriate. The presentation here follows [12, 13].

The starting point is (5.11) for the two-point function of  $T_{\mu\nu}$ , that is

$$i\langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle = \Pi_{\mu\nu\rho\sigma}^{(0)} \Omega^{(0)} + \Pi_{\mu\nu\rho\sigma}^{(2)} \Omega^{(2)}, \quad (\text{C.1})$$

where the projection operators are given in (5.12). The spectral function representation, given for four dimensions in (6.2), is

$$\Omega^{(s)} = \frac{1}{(2\pi)^{n/2}} \int d\lambda^2 \lambda^{n-2} \rho^{(s)}(\lambda^2) (\lambda|x|)^{1-n/2} K_{n/2-1}(\lambda|x|), \quad (\text{C.2})$$

where we have used the  $n$ -dimensional expression for the Feynman propagator given in section 4.1 and taken the points in the Green function to be spacelike separated.

Carrying out the differentiation in (C.1) we find

$$\begin{aligned} i\langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle &= \frac{1}{(n-1)} \frac{1}{(2\pi)^{n/2}} \int_0^\infty d\lambda^2 \lambda^{n+2} \rho^{(0)}(\lambda^2) \left[ A^{(0)}(\lambda|x|) \eta_{\mu\nu} \eta_{\rho\sigma} + \dots + E^{(0)}(\lambda|x|) \frac{1}{x^4} x_\mu x_\nu x_\rho x_\sigma \right] \\ &+ \frac{(n-2)}{(n-1)} \frac{1}{(2\pi)^{n/2}} \int_0^\infty d\lambda^2 \lambda^{n+2} \rho^{(2)}(\lambda^2) \left[ A^{(2)}(\lambda|x|) \eta_{\mu\nu} \eta_{\rho\sigma} + \dots + E^{(2)}(\lambda|x|) \frac{1}{x^4} x_\mu x_\nu x_\rho x_\sigma \right] \end{aligned} \quad (\text{C.3})$$

Contracting indices in the different ways indicated in section 5.2, we can isolate the spin 0 and spin 2 contributions. For the spin 0 spectral function,

$$i\langle T_{\mu\nu}(x) T^\rho{}_\rho(0) \rangle = -\frac{1}{(2\pi)^{n/2}} \int_0^\infty d\lambda^2 \lambda^{n+2} \rho^{(0)}(\lambda^2) \left[ P^{(0)}(\lambda|x|) \eta_{\mu\nu} + Q^{(0)}(\lambda|x|) \frac{1}{x^2} x_\mu x_\nu \right], \quad (\text{C.4})$$

and

$$i\langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle = (n-1) \frac{1}{(2\pi)^{n/2}} \int_0^\infty d\lambda^2 \lambda^{n+2} \rho^{(0)}(\lambda^2) R^{(0)}(\lambda|x|), \quad (\text{C.5})$$

while for the spin 2 spectral function,

$$\begin{aligned} i\langle T_\mu{}^\rho(x) T_{\nu\rho} \rangle - \frac{1}{(n-1)} i\langle T_{\mu\nu}(x) T^\rho{}_\rho(0) \rangle &= -\frac{1}{2} \frac{(n+1)(n-2)}{(n-1)} \frac{1}{(2\pi)^{n/2}} \int_0^\infty d\lambda^2 \lambda^{n+2} \rho^{(2)}(\lambda^2) \left[ P^{(2)}(\lambda|x|) \eta_{\mu\nu} + Q^{(2)}(\lambda|x|) \frac{1}{x^2} x_\mu x_\nu \right], \end{aligned} \quad (\text{C.6})$$

and

$$\begin{aligned} i\langle T^{\mu\nu}(x) T_{\mu\nu}(0) \rangle - \frac{1}{(n-1)} i\langle T^\mu{}_\mu(x) T^\rho{}_\rho(0) \rangle \\ = \frac{1}{2}(n+1)(n-2) \frac{1}{(2\pi)^{n/2}} \int_0^\infty d\lambda^2 \lambda^{n+2} \rho^{(2)}(\lambda^2) R^{(2)}(\lambda|x|) . \end{aligned} \quad (\text{C.7})$$

The coefficient functions are evaluated using the Bessel function recursion relations (4.7) and we find for both  $s = 0$  and  $2$ ,

$$\begin{aligned} E^{(s)}(\lambda|x|) &= (\lambda|x|)^{1-n/2} K_{n/2+3}(\lambda|x|) \\ Q^{(s)}(\lambda|x|) &= (\lambda|x|)^{1-n/2} K_{n/2+1}(\lambda|x|) \\ R^{(s)}(\lambda|x|) &= (\lambda|x|)^{1-n/2} K_{n/2-1}(\lambda|x|) . \end{aligned} \quad (\text{C.8})$$

which can be compared with (4.8) in two dimensions.

The generalised Zamolodchikov functions are then defined from these Green functions, rescaled by a factor  $x^{2n}$  to produce dimensionless functions and remove contact terms, and we find

$$\begin{aligned} F^{(s)} &= \frac{1}{(2\pi)^{n/2}} \int d\lambda^2 \lambda^{2-n} \rho^{(s)}(\lambda^2) (\lambda|x|)^{2n} E^{(s)}(\lambda|x|) \\ H^{(s)} &= \frac{1}{(2\pi)^{n/2}} \int d\lambda^2 \lambda^{2-n} \rho^{(s)}(\lambda^2) (\lambda|x|)^{2n} Q^{(s)}(\lambda|x|) \\ G^{(s)} &= \frac{1}{(2\pi)^{n/2}} \int d\lambda^2 \lambda^{2-n} \rho^{(s)}(\lambda^2) (\lambda|x|)^{2n} R^{(s)}(\lambda|x|) . \end{aligned} \quad (\text{C.9})$$

As explained in section 6.1, we now search for a combination  $C^{(s)}$  of these functions which reproduces as closely as possible the properties of the Zamolodchikov  $C$  function (4.10) in two dimensions. With

$$C^{(s)} = F^{(s)} + \left(\frac{n}{2} + 1\right) H^{(s)} - \left(\frac{n}{2} + 2\right) G^{(s)} , \quad (\text{C.10})$$

we have

$$|x| \frac{\partial C^{(s)}}{\partial |x|} = (n-2)C^{(s)} - \frac{1}{2}(n+2)(n+4)G^{(s)} , \quad (\text{C.11})$$

as quoted in (6.3) and (6.4). Explicitly,

$$\begin{aligned} C^{(s)} &= \frac{1}{(2\pi)^{n/2}} \int d\lambda^2 \lambda^{2-n} \rho^{(s)}(\lambda^2) (\lambda|x|)^{3n/2+1} \\ &\times \left[ K_{n/2+3}(\lambda|x|) + \left(\frac{n}{2} + 1\right) K_{n/2+1}(\lambda|x|) - \left(\frac{n}{2} + 2\right) K_{n/2-1}(\lambda|x|) \right] , \end{aligned} \quad (\text{C.12})$$

and

$$G^{(s)} = \frac{1}{(2\pi)^{n/2}} \int d\lambda^2 \lambda^{2-n} \rho^{(s)}(\lambda^2) (\lambda|x|)^{3n/2+1} K_{n/2-1}(\lambda|x|) . \quad (\text{C.13})$$

We can also describe the Zamolodchikov functions in the alternative representation described for two dimensions in section 4.2. Here, we express the functions  $\Omega^{(s)}$  in terms of the Fourier transform,

$$\begin{aligned} \Omega^{(s)} &= a^{(s)} \int \frac{d^n k}{(2\pi)^n} e^{ik \cdot x} k^{n-4} \tilde{\Omega}_{TT}^{(s)}(t) \\ &= a^{(s)} \int_0^\infty \frac{dk}{k} k^{2n-4} (k|x|)^{1-n/2} J_{n/2-1}(k|x|) \tilde{\Omega}_{TT}^{(s)}(t) , \end{aligned} \quad (\text{C.14})$$

where  $t = \frac{1}{2} \log \mu^2 x^2$  and we have scaled so that  $\tilde{\Omega}_{TT}^{(s)}(t)$  is dimensionless. The numerical coefficients are  $a^{(0)} = \frac{1}{n-1}$  and  $a^{(2)} = \frac{2}{(n+1)(n-2)}$ .

In this representation, identifying the Zamolodchikov  $F$ ,  $H$  and  $G$  functions from the appropriate contractions of (C.1), we find the  $C^{(s)}$  function in the form

$$\begin{aligned} C^{(s)} &= a^{(s)} \frac{1}{(2\pi)^{n/2}} \int_0^\infty \frac{dk}{k} \tilde{\Omega}^{(s)}(t) (k|x|)^{3n/2+1} \\ &\quad \times \left[ J_{n/2+3}(k|x|) - \left(\frac{n}{2} + 1\right) J_{n/2+1}(k|x|) - \left(\frac{n}{2} + 2\right) J_{n/2-1}(k|x|) \right] , \end{aligned} \quad (\text{C.15})$$

and similarly,

$$\begin{aligned} G^{(2)} &= \frac{1}{(2\pi)^{n/2}} \int_0^\infty \frac{dk}{k} \tilde{\Omega}_{TT}^{(2)}(t) (k|x|)^{3n/2+1} J_{n/2-1}(k|x|) \\ G_{IJ} &= \frac{1}{(2\pi)^{n/2}} \int_0^\infty \frac{dk}{k} \tilde{\Omega}_{IJ}(t) (k|x|)^{3n/2+1} J_{n/2-1}(k|x|) . \end{aligned} \quad (\text{C.16})$$

The RGEs for  $\tilde{\Omega}_{TT}^{(s)}$  and  $\tilde{\Omega}_{IJ}$  follow from (6.13). From the definition (C.14), in four dimensions we find

$$\mathcal{D}\tilde{\Omega}_{TT}^{(0)} = -8\beta_b , \quad \mathcal{D}\tilde{\Omega}_{TT}^{(2)} = -20\beta_c , \quad (\text{C.17})$$

while

$$\mathcal{D}\tilde{\Omega}_{IJ} + \gamma_I^K \tilde{\Omega}_{KJ} + \gamma_J^K \tilde{\Omega}_{IK} = -\chi_{IJ}^a . \quad (\text{C.18})$$

We can then solve the RGEs for  $C^{(s)}$ ,  $G^{(2)}$  and  $G_{IJ}$  in exactly the way described in detail in section 4.2, with the results discussed in section 6.2.

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